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# TUPI STUDIES I





# TUPI STUDIES I

### SUMMER INSTITUTE OF LINGUISTICS PUBLICATIONS

IN

## LINGUISTICS AND RELATED FIELDS

## PUBLICATION NUMBER 29

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## TUPI STUDIES I

Edited by:

David Bendor-Samuel

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## PREFACE

The studies which comprise this volume have arisen out of the work of the Summer Institute of Linguistics, and, with one exception, are written by members of that Institute. The majority of the languages dealt with are spoken, and have been studied, within the borders of Brazil, but advantage has also been taken of work done by SIL members in neighboring countries. Within Brazil, SIL members have currently begun work on languages spoken by forty different tribal groups, including representatives of all the major language families. Further published studies resulting from this work will be forthcoming.

The present volume does not attempt a comprehensive description of one language, but presents briefer reports focussing on restricted aspects of a number of languages. The papers were written at different times and places, and reflect differing approaches to linguistic description. Pease and Betts' description of Parintintin phonology was finally polished at the SIL workshop in Belém, February to April, 1968. Taylor and Harrison's paper on Kaiwá is a redraft of one read to the Brazilian Anthropological Association in July of 1963. Harrison's Asurini Morphophonology was submitted in partial fulfilment of the requirements for a master of arts degree at the University of Pennsylvania in 1967.

The content of the papers is varied. 'Parintintin Phonology' provides a brief description of the sound system at all levels, with most detail on nasalization. The treatment is orthodox and handles nasalization from a phonemic point of view. 'Nasalization in Kaiwa', in contrast, deals only with nasalization, and is not closely linked to any one pattern of description either in concept or terminology. Its closest affinity is perhaps with the London school. These two treatments of a feature which is very widespread in Tupi-Guarani languages will be of considerable interest and help to other students of this family. They also provide a contrast in approach which is not without theoretical interest.

'The Morphophonology of Asurini Words' is a generative-transformational description of morphophonology. It is an excellent example of the possibilities, and limitations,

of linguistic description by means of a series of rules. The interest and attention of the reader may well be chiefly in the descriptive technique employed, at least to begin with, and the paper will repay study from this point of view alone. Those already familiar with the technique, or with willingness to master it, will also be grateful for the data provided on another feature common to a number of languages in this family.

'Cocama Clause Types', on the other hand, is a tagmemic study of one aspect of syntax. It deals with surface structure by establishing slots and fillers, and is another good example of the advantages and disadvantages of the descriptive framework chosen. Again, those familiar with the approach will be able to take ready advantage of the data, while those unfamiliar with it must give attention to this first.

It is a pleasure to be able to include in this volume the comparative paper by Miriam Lemle, who is a research student at the Federal University of Rio de Janeiro, and for whom SIL members have a high regard as a colleague. Her work in bringing together and interpreting the data collected by SIL workers and others is a significant contribution to the comparative field that will be of interest to all Tupinologists, and hopefully will provide a stimulus for further study.

David Bendor-Samuel

## PARINTINTIN PHONOLOGY

#### Helen Pease and LaVera Betts

- 1. Consonants
- 2. Vowe1s
- 3. Syllables
- 4. Nasalization
- 5. Higher Level Features
- 6. Text
- 0. The purpose of this paper is to describe the phonology of Parintintin with special focus on the phenomenon of nasalization.

## 1. Consonants.

	Bilabial	Alveolar	Alveo- palatal	Velar	Labio- Velar	Glottal
Stops	p	t	č	k	k۳	?
Nasals	m	n	ñ	ŋ	٥w	
Fricativ	es v					h
Flap		r				

The consonant system consists of fourteen phonemes which contrast at six points of articulation. There are further contrasts with respect to manner of articulation at each of the six points. These are labeled on the chart for convenience as stop, nasal, fricative, and flap articulations. However, these terms do not fully describe the phonetic variants, and further details are given below.

The stops contrast at bilabial, alveolar, alveopalatal, velar, labio-velar, and glottal points of articulation. The nasals contrast at the same points of articulation, except that a glottal member does not occur in the nasal series. The fricatives contrast at bilabial and glottal points of articulation.

The following are examples of the consonants:

Flap

```
Stops
 /p/
     pira 'fish'
                            /t/ tata 'fire'
 /১/
      čã?ã
            'okay'
                                 ka?a
                                       'leaf'
                            /k/
 /kw/ kwara 'sun'
                            /?/ a?a 'I fall'
Nasa1s
                             /n/ nãhã 'thus'
 /m /
      mãhã
            'where is'
                             /ŋ/ ŋãhã 'they'
 /ñ/
      ñane 'we inclusive'
  /nw/ nwãºã 'he mocks'
Fricatives
  /v/ ovava 'it shakes' /h/ haha
                                       'stalk of
                                        bananas!
```

The stops are voiceless and unaspirated. Except for velar palatalization, which is described later, they have no variant forms. The alveopalatal member of the series, /č/ as in čabe?e 'old man', is affricated: [tš].

The nasal series has the following variants:

/r/ rañi?i 'long ago'

- (1) Nasal continuants [m n ñ  $_{\mathfrak{H}}$  occur utterance initially preceding a nasal vowel and utterance medially between nasal vowels: tupahāmā [tupahāmā] 'cord', nāhā [nāhā] 'thus', kūñā [kūñā] 'woman', õmõ  $_{\mathfrak{H}}$ īta [ŏmõ  $_{\mathfrak{H}}$ īta] 'he converses', kā  $_{\mathfrak{H}}$ īta [kā  $_{\mathfrak{H}}$ īta] 'coffee'.
- (2) Prenasalized voiced stops [mb, nd, ny, ng, ngw] occur following a nasal vowel and preceding an oral vowel:  $\[ \[ \] \] \] \] 'he cooks', \[ \] \[ \] \] 'spider', ot \[ \] \[ \] \] 'he ties right away', <math>\[ \] \] \] 'nga] 'house', \[ \] \[ \] \] 'weeds'. The bilabial and alveolar members of the series also occur in utterance-initial position preceding an oral vowel: maraka' \[ \] \[ \] \] 'ocelot', nehe [ndehe] 'you singular'.$
- (3) Voiced stops [ $\check{J}$  g g<sup>w</sup>] occur between oral vowels and in utterance-initial position preceding an oral vowel:

ñihi [jihi] 'I', i?aŋahi [i?agahi] 'it stinks', ŋʷɨraʔi [gwɨraʔi] 'bird'.

(4) The phoneme /ñ/ has additional variants. [ỹ] fluctuates freely with [y], and [ñ] with [ỹ] utterance medially in unstressed syllables: muñuhu [mbuyuhu] or [mbuyuhu] 'frog', mököñāte'i [mököñāte'i] or [mököyāte'i] 'a few'.

Members of the fricative series are respectively a voiced bilabial fricative, /v/, and a voiceless glottal fricative, /h/. Except for palatalization of the glottal fricative, described later, there are no major variants.

The flap is alveolar and has no variant forms.

Palatalization of the consonants /k n h/ may occur following a high front vowel with or without an intervening syllable-final glottal stop. This does not occur, however, preceding another high front vowel. ikatu [ik atu] 'it is pretty', akitī no [akitī ng yo] 'I soap down', õmoñihe a [õmbojih e a] 'he mixes'.

## 2. Vowels.

	front		cen	tra1	back			
0	ral	nasal	oral	nasal	oral	nasa1		
high	i	ĩ	i	Ĩ	u	α		
low	е	ẽ	a	ä	0	ð		

The vowel system consists of twelve phonemes, which contrast with respect to oral and nasal quality; front, central, and back tongue positions; and relatively high and low tongue positions.

The following examples illustrate contrasts within the oral and the nasal vowel series:

/i/	ðmðmi	'he stops'	/ <b>î</b> /	ðmÎ	'he hides'
/e/	ŏmŏme	'he makes cakes'	/ĕ/	ohë	'he leaves'
/ <b>i</b> /	ðmõm <b>i</b>	'he fastens'	/ <b>¥</b> /	ot≇	'he plants'
/a/	õmõma.	'he kills a lot'	/a/	om <b>ä</b>	'he grabs'

```
/u/ \tilde{o}m\tilde{o}mu 'he pierces' /\tilde{u}/ \tilde{o}m\tilde{u} 'he spits out' /o/ \tilde{o}m\tilde{o}mo 'he throws' /\tilde{o}/ \tilde{o}m\tilde{o} 'he sticks'
```

The following examples show contrasts between oral and nasal vowels:

```
/i/ opi 'it stops'
                            /a/
                                 oka 'it breaks'
/ĩ/
        'he cuts close'
    opĩ
                            /ã/
                                 ikã 'he is strong'
        'people'
/e/
    ahe
                             /u/ akutu 'I puncture'
                            /ũ/ ahetũ 'I smell'
/ẽ/ ahẽ
        'I leave'
/i/ oti 'it catches'
                            /o/ onehe?o 'he cries'
/¥/ ot¥ 'he plants'
                            /õ/ opehe?õ
                                          'he halves'
```

The 'low front' and 'low back' vowels vary in tongue height from mid open  $[\epsilon \ \ \ \ \ \ \ ]$  to mid close  $[e \ \ \ \ \ \ \ \ \ \ ]$ . The front mid close variant is heard only immediately preceding a high front vowel /i/. The back mid open variant tends to occur more frequently between bilabial and alveolar nasals. The 'low central' vowel is normally low open in tongue position, and the 'high' vowels are always high close.

All vowels have voiceless variants which may occur at the end of a phonological sentence (see 5.2). The vowels /a/ and  $/\tilde{a}$ / have variant forms with generally lax and lenis articulation, in which the tongue is in mid central position. These variants are never stressed.

3. Syllables. Parintintin syllables consist of a nucleus of one or two vowels with an optional initial and/or final consonant. The following patterns have been recorded:

V	ohi 'he falls	CV	ñihi 'I'	
VC	i?ŋwava 'table'	CVC	ka?ŋ₩ira	'bush'
VV	aivu 'near'	CVV	aheakãŋã	'person's head'

Syllables of patterns VC and CVC do not occur in utterance final position.

3.1. Complex Segments. A number of sequences of two consonantal sounds are interpreted as single consonants, since nonsuspect syllable patterns allow for no consonant clusters except across syllable boundaries. Complex segments so interpreted include the affricate /č/ (phonetically [tš]), which occurs in single C slots as in čaho 'let's go'; the [j] (i.e., [dž]) variant of the /ñ/ phoneme; and the prenasalized stops described in Section 1 as allophones of the nasal series.

Sequences of a consonantal sound plus a nonsyllabic high vocoid are likewise interpreted as single consonants. To interpret the vocoid element as a vowel would result in the recognition of a sequence of three vowels in one syllable (as in [ik'ai] 'it burns'), a pattern that does not otherwise occur. Complex segments of this type include the labio-velar phonemes  $/k^{\omega}$   $\eta^{\omega}/$  and the palatalized variants of /k  $\eta$  h/ previously described.

Sequences of a consonant plus lenis [e], and of a consonant plus a voiceless vocalic release are interpreted as CV on the basis of the most economical analysis. Lenis [e] is recognized as a submember of the phoneme /a/ (see 2), while voicelessness is recognized as a feature of the termination of the phonological sentence (see 5.2). This results in a more economical analysis than the alternative of setting up additional variant forms for all the consonants which may occur with lenis [e] or vocalic release, as in the examples tupava [tupabe] 'hammock' and ahereveka [ahere bekA] 'belly'.

Sequences of a vowel plus a nonsyllabic high vocoid are interpreted as two vowels because there are examples of other two-vowel sequences occurring in comparable positions in the syllable patterns:

aheakāṇā 'a person's head' ŋʷãĩvĩhĩ 'old woman' ñuitaraga 'frog'

All such sequences are analyzed as comprising a single syllable nucleus because they have only one syllabic pulse, and the first of the two vowels is always the more prominent.

Sequences of a syllabic vowel plus a nonsyllabic high front vocoid plus a syllabic vowel are interpreted as VCV because there are otherwise no examples of a three-vowel sequence: muñuhu [mbuyuhu] 'frog'.

3.2. Distribution. Within the syllable, all of the consonant phonemes occur in initial position, but only the glottal stop occurs finally.

There are apparently certain restrictions in permitted vowel-consonant and consonant-vowel sequences. No velars have been found following a low front vowel, nor labiovelar stops preceding a back or a high central vowel. The alveopalatal stop has not been found preceding a front, a high central, or a low back vowel; and has been found preceded only by a high back vowel.

Consonant clusters across syllable boundaries always involve a glottal stop as the first member. The glottal stop precedes any of the voiced consonants, but only two cases have been found in which it precedes a voiceless consonant (once preceding a bilabial stop and once an alveolar stop).

Vowel clusters of nearly every possible combination have been recorded. The first vowel in the cluster is always the more prominent one, and, except in the case of morpheme reduplication, clusters are always entirely oral or entirely nasal:

ipohii 'he is heavy' ñĩĩmĩãnã 'I am an old person'
An example of morpheme reduplication is:

ñĩã miã mi 'I have a runny nose'

4. Nasalization. Nasalization is a pervasive feature of Parintintin phonology, as may be seen from the list of phonemes and their variants. This feature is of special interest because it is possible to predict the occurrence of the allophones of the nasal series of consonants from their phonological environment, and the nasalization of vowels is predictable in some, but not all, environments. The occurrence of nasalization with both vowels and consonants will now be more fully described, and an attempt made to account for the partial predictability of the phenomenon by the recognition of phonemes as (1) inherently oral, (2) potentially nasal, or (3) inherently nasal.

The following symbols will be used in the present discussion to achieve maximum generality of statement in a concise form:

- V any oral vowel
- V any nasal vowel
- 0 consonants having only oral forms and variants  $(p, t, \xi, k, k^w, \gamma, v, h, r)$
- N consonants having only nasal forms and variants (m and n)
- G consonants having oral and nasal forms and variants  $(\tilde{n}, \eta, \eta^w)$
- n and η consonantal variants of N and G which are wholly nasal (m, n; ñ, η, η)
- nd and ng consonantal variants of N and G which are prenasalized (mb, nd; ny, ng, ngw)
- g consonantal variants of G which are wholly oral (j, g, gw)
- # pause
- 4.1. Nasalization of Contiguous Elements. Nasal vowels may occur preceding or following any consonant, but oral vowels do not occur preceding N or n. Variant forms of all consonants of the nasal series (N and G) are predictable in terms of contiguous vowels.

The following chart lists all theoretically possible environments and shows patterns and restrictions in co-occurrence of the forms. The chart is to be read as follows: when N is preceded by pause and followed by a nasal yowel, it is articulated as [m] or [n], etc.

Vocalic Environment	Conson N	Variant O		
# - V	n	Ĵ	0	
$\tilde{v}$ - $\tilde{v}$	n	ŋ	0	
$\tilde{V}$ - $V$	nd	υg	0	
# - V	nd	g	0	

Vocalic Environment	Consonai N	Consonantal N G			
v - v	_	g	0		
v - v	-	_	0		

In two-vowel sequences only VV and  $\tilde{VV}$  occur, except in the case of reduplicated morphemes (see 3.2).

The patterns and restrictions of co-occurrence shown above are herewith summarized. Nasal vowels precede both nasal and prenasalized, but not oral, variants of N and G; and they follow only their nasal variants. Oral vowels follow both oral and prenasalized, but not nasal, variants of N and G; and they precede only the oral variants. Prenasalized variants of N occur only before oral vowels, and nasal variants occur elsewhere. Oral variants of G occur when there is no contiguous nasal vowel; nasal variants occur when there is no contiguous oral vowel; and prenasalized variants occur following a nasal and before an oral vowel.

Categories and rules may therefore be set up to account for patterns of co-occurrence between contiguous elements. N and  $\tilde{V}$  are inherently nasal, and never have oral variants. G and V are potentially nasal but occur as oral variants except when influenced by a contiguous nasal form.  $\tilde{V}$  is inherently oral, and never represents nasal variants.  $\tilde{V}$  exerts a nasalizing influence, progressively and regressively, upon contiguous N and G, resulting in the selection of nasal or prenasalized variants (the latter when an oral vowel follows). It also regressively nasalizes a contiguous vowel. V exerts an oralizing influence, regressively, upon contiguous N and G, resulting in the selection of prenasalized variants when they follow  $\tilde{V}$ . N and  $\tilde{\eta}$  exert a nasalizing influence, regressively, upon contiguous vowels, resulting in the selection of nasal forms.

## 4.2. <u>Nasalization of Noncontiguous Elements</u>.

(1) Nasalization across glottal stop. Sequences of two vowels separated by a glottal stop are always either both oral or both nasal, e.g.,  $k \tilde{\gamma} \tilde{\gamma}$  'porcupine'.

When a nasal vowel is followed by a sequence of ?N or ?G the nasal or prenasalized variants of N or G always occur:

n and  $\eta$  before nasal vowels and nd and  $\eta g$  before oral ones, e.g., mãrã $^{\eta}\eta u$  [mãrã $^{\eta}gu$ ] 'who knows if'. Sequences of  $^{\gamma}N$  and  $^{\gamma}\eta$  are always preceded by nasal vowels, e.g., okã $^{\gamma}\tilde{n}$  [okã $^{\gamma}\tilde{n}$ ] 'he forgets'.

- $\tilde{V}$ , N, and  $\eta$  may therefore be said to exert a nasalizing influence on phonemes within the same morpheme which are separated from them by only a glottal stop, just as if they were contiguous.
- (2) Regressive nasalization within the word. Any syllable which begins with N or G is fully nasal when it precedes an inherently nasal element in the same grammatical word, i.e., the vowel in that syllable is nasal and the consonant occurs in its nasal variant n or 9. Examples are: ña?ŋwara [ja?gware] 'jaguar' plus -pĩnĩmã [-pĩnĩmã] 'spotted' becomes ñãŋwãpĩnĩmã [ñãŋwãpĩnĩmã] 'spotted jaguar'; ñi [ji] 'I' plus -pirivutũ [-piributũ] 'brown skinned' becomes ñĩpirivutũ [ñĩpiributũ] 'I am brown skinned'.

This phenomenon may be accounted for by the following additional rule: an inherently nasal element exerts a regressive nasalizing influence upon any syllable preceding it within the same grammatical word which contains N or G.

(3) Progressive nasalization within the word. Certain suffixes may be regarded as potentially nasalized and others as inherently oral, because the former occur with nasal vowels following syllables with nasal vowels, while the latter always occur with oral vowels. This may be stated as a type of progressive nasalization, the operation of which is restricted to certain suffixes, e.g., na/nan-[na/nan-] 'like this' plus -uhu [-uhu] 'augmentative' becomes nanühü [nanühü] 'really like this', while na/nan- plus -ve'e [-be'e] 'thing' becomes nave'e [nabe'e] 'a thing like this'.

Some suffixes are frequently, but not invariably, nasalized or may be nasalized only on the first syllable, e.g., õñĩ?ĩŋ- [ỡñĩ?ĩŋ-] 'he talks' plus -ahɨ [-ahɨ] 'forcefully' becomes ỡñĩ?ĩŋāhɨ [ỡñĩ?ĩŋāhɨ] 'he scolds'.

4.3. An Alternative Analysis to that presented here is also possible; nasalization may be treated on a level higher than that of the syllable. Although the phenomena are not entirely parallel, a detailed discussion of this problem may be seen in the paper by Harrison and Taylor which also appears in this volume.

It should be noted that there is some free variation in the degree of nasalization which occurs, differing according to both occasion and speaker. Inherently nasal vowels are generally more nasalized than potentially nasal ones.

- 5. <u>Higher Level Features</u>. The higher level features of Parintintin have not yet been fully analyzed; the following, however, is presented as a tentative analysis.
- 5.1. Phonological Phrase. A phonological phrase is postulated to account for certain features of stress, pitch, and length which are commonly associated with groups of syllables. The phonological phrase is composed of one or more syllables, generally more than one. In a series of syllables comprising a phonological phrase one syllable occurs with higher pitch and with more stress than the others. This is termed 'phrase stress'.

Stress. Phrase stress generally occurs on the first syllable of the phrase except in initial phrases in a sentence, in which case one or two unstressed syllables may precede the stressed syllable (see Sentence 5 of the text in Section 6).

Pitch. Although the pitch normally drops following the phrase stress, it is sometimes not noticeably higher on the phrase stressed syllable than on the other syllables of the phrase. The last phrase in a phonological sentence ends on a low pitch unless it carries sentence stress (see below). A sentence-medial phrase may also end on a low pitch or on a pitch which is slightly rising, leading up to the phrase stress of the following phrase. In a sentence-initial phrase the syllables preceding the stressed syllable normally occur with a pitch lower than that of the stressed syllable, but some have been found with a higher pitch.

Length. Stressed syllables tend to be slightly lengthened. When a CV or V syllable is followed by a syllable beginning with the phoneme h, the h may drop and the surrounding vowels fuse into one slightly lengthened syllable, e.g.,  $\tilde{\text{e}}$  muhu may be pronounced  $[\tilde{\text{e}}^{\text{m}}\text{bu}\cdot]$ . This reduction may even occur across phrase boundaries.

5.2. Phonological Sentence. The phonological sentence is set up to account for certain features of stress, intonation, voicelessness, and pause commonly associated with groups of phrases. The phonological sentence consists

of one or more phonological phrases, generally more than one.

Stress. The heaviest stress of the phonological sentence is termed 'sentence stress', and the syllable on which it occurs has the highest pitch. Sentence stress falls on a phrase stressed syllable, and may occur initially, medially, or finally in the sentence, depending upon what part of the grammatical construction seems to be in focus. In an interrogative sentence containing a question word or phrase, for example, the sentence stress normally occurs on that word or phrase (see Sentence 11 in the text, Section 6). The sentence stress most often occurs on one of the first three phrases of the sentence.

In some sentences heavy stress occurs at two points. The second of these is always on the last or next to last syllable of the sentence and does not necessarily coincide with phrase stress. In such cases, the second stress appears to mark the addition of a construction to a sentence which would be grammatically complete without it. This added element frequently serves to clarify or add to information already given in the preceding part of the sentence. This is illustrated in Sentences 1 and 3 of the text.

Intonation. The most common intonation pattern for the sentence is a gradual rise in pitch to the sentence stress followed by a gradual drop in pitch. Thus, each phrase preceding the one containing the sentence stress is successively higher in pitch than the one before, while each phrase following it is successively lower.

<u>Voicelessness</u>. Vowels may be voiceless at the end of phonological sentences, and this voicelessness includes at times as many as the last three syllables. When this is the case, words with the consonants v, r, or the [g] allophone of  $\mathfrak q$  in an unstressed final syllable generally occur in variant forms in which these consonants are replaced by  $\mathfrak p$ ,  $\mathfrak t$ , and  $\mathfrak k$ , respectively.

Pause. Phonological sentences are normally followed by pause. Occasionally, two phonological sentences may be juxtaposed with normal intonation on each and no pause separating them. This is considered a complex sentence, of which Sentence 5 of the text is an example.

Emphasis. Emphasis may also occur in a sentence and is

marked by extra stress and higher pitch on one syllable, plus one or more of the features described below. An emphasized syllable always has the sentence stress, and the phrase containing it will be referred to as the stressed phrase.

- (1) A sharp drop in pitch may occur over one or two of the first syllables of the stressed phrase and/or over the entire phrase immediately preceding it. This pitch drop has been found to occur on each of two phrases immediately preceding a stressed phrase with cases of morpheme reduplication.
- (2) A glottal stop may be introduced at the end of the phrase preceding the stressed phrase, e.g., Sentence 6 in the text. The glottal stop may separate the syllables of a morpheme.
- (3) Lengthening may occur on the final vowel or glottal stop of phrases with lowered pitch preceding the stressed phrase, or on the first consonant of the stressed phrase, e.g., Sentence 6. Some cases of lengthening of the final vowel of the stressed phrase have also been observed.
- 6. Text. The following transcription consists of two excerpts from a tape recording made by Catarina, the chief's wife at Canavial. It gives a brief account of the pacification that took place years ago of the Jupá Indians, a group related to the Parintintin who were living on the Machado River at that time.

The text is written phonemically, except for the indication of voicelessness and length which are significant to the sentence. The following symbols are use: / indicates phrase division; // pause; ' phrase stress; " sentence stress; V progressive devoicing of the preceding vowel; A, E, etc. voiceless vowels; · lengthened vowels or consonants. The phonological sentences are numbered consecutively. The numbering within each sentence of the text correlates with the numbers of the free translation. Words in parentheses in the free translation are added to give sense to the English.

1.  $\tilde{a}\tilde{e}''\tilde{r}\tilde{e}_1$   $\tilde{g}\tilde{a}_2$   $\tilde{h}oi_3/$  ' $\tilde{n}upa_4$   $\tilde{g}\tilde{a}_4/$  ' $\tilde{p}iri_5$   $\tilde{h}ako_6$  / ' $\tilde{n}ire_7$  " $\tilde{g}\tilde{a}_8$  // 2. ' $\tilde{n}ire_1$  / ' $\tilde{g}\tilde{a}_2$   $\tilde{n}\tilde{a}$ /' $\tilde{e}_3$  ko<sub>1</sub> o /' $\tilde{h}o_4$   $\tilde{g}\tilde{a}_5$  /

'piri6 ha/'koV7 // 3. he'''ii1 na2 o/'nwovo3 nã4 / 'piri5 ei6 nã7 / 'nã8 ne/'piakA9 // 4. 'kiro1 ore2 / "ruri3 pe4 mõñĩ/'rõmõ5 ei6 / 'nãV7 // 5. oro1 've2 na3 / 'ĩmõ/"mori4 nwe/'revi5 ei6 / 'nã7 / 'čave8 / "na8 po õmõ/'mo9 nwerevi10 / nã11 nehe12 raka/'eV13 // 6. 'teremõ?·/"mori1 ei2 ore3 nã4 / 'pe5 ei6 // 7. 'po1 ahe2 ore3 nu/"ka4 te5 ei6 nã7 // 8. 'noro/"koi1 ko1 / 'ore2 / 'pe3 ne/'he4 e5 po / 'ñire6 / 'kA6 // 9. ei1 "ñi2 ei3 / 'ñire4 / 'naV4 // 10. 'noro/"koi1 ore2 / 'pe3ne/'he4 // 11. mõ"mē1 pe2 ne/'koi3 ei4 // 12. pai"vo1 o/'re2 re/'koiV3 // 13. 'ore1 rero/"ho2 õ/'na3 pe4 hE/'tE5 // 14. 'torohe/"pia1 peña/'piña2 ei3 o'rE4 //

Translation of the Text. (The word po has only been translated in Sentence7. It indicates a certain degree of indefiniteness or uncertainty with regard to the particular thing in question.)

1. After this they went to be with the Jupás, a long time ago 6--Jiré, and the others (went). 2. Jiré and the others went first to be with them, long ago 7. 3. "A lot of them went to be with them, "they said, "to see them." 4. "Now, we have come to tame, you, "they said then, he almost threw, arrow)," they said then, he almost threw, arrow)," they said them, long ago, "The old man, almost threw, an arrow) on 12 them, long ago, "they are throw, are threw, they said to 5 them, "they said to 7. "A person could really kill, as," they said to 8. "We aren't fighting, with you," Jiré said, 9. "I said, (it)," Jiré said, 10. "We aren't fighting, with, you, " 2 aren't fighting, with, you, " 11. "Where, do, you, live, ""

(he) said<sub>4</sub>. 12. "We<sub>2</sub> live<sub>3</sub> a long ways away<sub>1</sub>." 13. "Take<sub>2</sub> us<sub>1</sub> right<sub>5</sub> to<sub>4</sub> the houses<sub>3</sub>. 14. We want to see<sub>1</sub> your houses<sub>2</sub>," we said<sub>3</sub>.

#### FOOTNOTES

¹The Parintintin language is spoken by approximately one hundred and fifty Indians living principally in the State of Amazonas, Brazil. There are groups living at three places on the Ipixuna River, a tributary of the Madeira; others are living in the area of Três Casas; and a group of some seventy Indians are near the headwaters of the Marmelos River. The family group living at Canavial, one of the above-mentioned locations on the Ipixuna River, consists of the chief, Paulino Neves; his immediate family; and his sons-in-law.

Most of the data for this paper were collected during two field trips to Canavial. The first visit extended from February through April, 1961, and the second from July, 1961 through January, 1962. Assisting steadily as informants were Catarina, the chief's wife, and Ida, his married daughter. Their approximate ages were forty-five and twenty-seven respectively.

We are deeply indebted to Dr. David Bendor-Samuel and to Eunice Burgess for their invaluable help in the preparation of this paper and to others of our colleagues in the Summer Institute of Linguistics, Inc. who have also assisted in various ways. Thanks are also due to the Museu Nacional of Rio de Janeiro under whose auspices the field work has been done, and to the Serviço de Proteção aos Índios for permission granted in making the field trips.

 $^2\mathrm{This}$  regressive nasalization does not occur on certain common morphemes which would be rendered homophonous with other morphemes if nasalized, e.g.,  $\mathfrak{ga}$  [ga] 'he' contrasts with  $\mathfrak{ga}$  [ $\mathfrak{ga}$ ] 'they'. Both of these may be prefixed with similar grammatical functions.

# NASALIZATION IN KAIWÁ

Carl H. Harrison and John M. Taylor

The purpose of this paper is to describe the feature of nasalization as it occurs in Kaiwa, a Tupi-Guarani language of Brazil.

An early tentative analysis of the language which posited an oral and nasal series of vowels with resulting oral and nasal syllables did not account for all of the complexities perceived. It was also noted that the question of the phonemic status of pure versus postoccluded nasals was related to the question of nasalization. Although at first it appeared that one could simply consider the pairs  $m-m^b$ ,  $n-n^d$ ,  $\tilde{n}-d^{\gamma}$ ,  $\eta-\eta^g$ , and  $\eta^w-g^w$  as allophones (the first member of each pair occurring in nasal syllables, the second in oral syllables), subsequent research revealed further interesting relationships among the nasalized parts of words, and consequently the picture became less clear.

It was soon discovered that in many cases the nasal allophones of such consonants occurred occasionally with oral vowels, and vice-versa. In the words tupanwasu 'important gods' and kf?fnwasu 'large peppers', the vowel a which follows nw is unambiguously oral. This is in spite of the fact that, according to the analysis referred to above, this vowel should have been nasal, and so account for the selection of the allophone nw (since nw was to occur with nasal vowels and gw with oral). In the example omanomba-ma'they all died', it is impossible to decide which of the last two vowels, in actual fact, is the more nasalized, though the aforementioned analysis would call for the prejunctural a to be clearly oral. Sometimes, in a given instance, it is quite difficult to label a particular syllable of a form as definitely either nasal or oral, as for example in:

tupā ~ tūpa ~ tūpā 'gods, spirits'

oke ~ oke ~ oke 'opening'

Yet we can make the generalization that at least one of the

syllables of (certain parts of) the words will be nasalized in a given instance. This leads us to the conclusion that nasalization needs to be described in terms of something other than the syllable. A hypothesis which attributes intrinsic nasality to certain whole morphemes in the language turns out to be the most fruitful, although certain other qualifications must be added in order to develop a truly comprehensive descriptive system for nasalization in Throughout the rest of this paper, underlining will indicate intrinsically nasal morphemes. A til over a vowel is used to mark nasalization which results from the application of certain rules about combinations of morphemes at least one of which is nasal, as well as to mark nasalization caused by other factors. The examples cited above could then be written tupa, oke, and oro ikoteve (with space marking the morpheme boundary). In the last case õrõ ikoteve would indicate that oro has become nasalized by association with ikoteve.

Certain other definitions are necessary before the rules can be given. A STRESS GROUP is a group of syllables which can occur immediately before and after a syllable which has primary stress. PRIMARY STRESS is primary intensity with concomitant features of length of the whole syllable and rising pitch. A stress group has a nucleus and a margin (separated by a hyphen in this paper). We define NUCLEUS to be the syllable with primary stress and all syllables that precede it in a stress group. The MARGIN consists of any syllables that may follow the syllable which has primary stress. The division between stress groups, that is the point at which the margin leaves off and another nucleus begins, is determined by a combination of the potential pause; an audible rhythm change in following: which there is a relative speeding up of the first part of the nucleus in relation to the last part of the preceding margin; and syntactical divisions (the description of which is beyond the scope of this paper).

Positing the two units of nucleus and margin greatly facilitates the description of certain differences of effect of the nasality of one morpheme on that of another. It also helps in describing the limits to the operations of some but not all of the rules, whereas stress-group boundaries form the limit of all rules described here. Nasality, that is intrinsic nasalization, may occur on the nucleus, or the margin, or both, or neither. A margin is either completely nasal or completely oral. By contrast, a nucleus may be completely nasal, completely oral, or partly oral

and nasal.

## Rule 1

Within the nucleus, if an intrinsically nasal morpheme occurs, it will potentially nasalize morphemes that precede it within the limits of the stress group boundary.

šẽ apij gwa-rupi

my nose cavity in 'in my nostril'

Note that e of še becomes nasalized.

õ ñë <u>no-ta</u>

he reflexive lay desiderative 'he intends to lie down'

Note that if the reflexive were not followed by a nasal morpheme, it would have the form d'e.

õ mano 'he died'

## Qualification <u>la</u>

The occurrence of a glottal stop will tend to inhibit the nasalization effect of intrinsically nasal morphemes on successive syllables preceding it in the nucleus. Thus, although all the morphemes preceding an intrinsically nasal morpheme may become nasalized in normal speech, in careful (slower) speech syllables preceding a glottal stop will be oral (subject to Qualification 2a below).

base form: dyu'i <u>nwe</u> 'frog (classifier)'

normal speech: ก็นีวิกั กูพe

slow speech: dyu?ĩ ŋwe

## Qualification 1b

If the last morpheme of a nucleus is intrinsically nasal, and if it is not followed by a margin, the last syllable(s) of that morpheme will generally be oral. If fol-

lowed by a margin, it (they) will be nasal.

base form: oro ikoteve

with margin: õrõ ĩkõtẽvẽ-va<sup>o</sup>e 'we (exclusive) are

the ones who are in need'

without margin: õrõ ĩkõtẽve 'we (exclusive) are in need'

## Rule 2

The postoccluded nasals  $m^b$ ,  $n^d$ ,  $n^g$  (which occur only in nuclei) will nasalize all syllables which precede them in a nucleus in normal speech and often in slow speech as well.

tembiou 'food'

õ mõ ñe mbo asi 'he makes him sorry for himself'

## Qualification 2a

In careful speech, nasalization will be inhibited before a glottal stop as in Qualification la. However, any nasal morpheme or postoccluded nasal which precedes the glottal stop will reinitiate the nasalization of preceding syllables according to Rules 1 and 2.

base form: še rembiou ra 'my food in the making'

slow speech: šẽ rẽm $^{b}$ i  $^{\circ}$ ũ  $\underline{ra}$  (Rules 1 and 2; Qualification 2a)

normal speech: šẽ rẽmĩºũ ra (Rule 1)

## Rule 3

A nasal margin does not affect an oral nucleus apart from a possible slight nasalization of the final vowel of the nucleus.

õ mano mbã-ma 'they all died' (Rules 1 and 3)

## Rule 4

Certain margins manifest nasal allomorphs if the last morpheme of the nucleus is nasal.

o ke-pi

his sleep in 'in his sleep'

oke-mf 'in the doorway' (Rule 4)

Examples are here given to illustrate various combinations of nasal and oral morphemes in nuclei and margins. Nasalization (written as before with a til) will be referred to one of the rules in each case. Some additional comments are made where relevant.

ñãn<sup>d</sup>e 'we (inclusive)' (Rule 2)

ñane apeku 'our (inclusive) tongue' (Rule 1)

Note that full nasalization of nande forces the choice of the pure nasal as over against the postoccluded variant.

o ñe mo ndij-ma 'he got a scare' (Rule 2)

hasi-maramo 'the moment they got sore'

There is no effect of margin nasalization on the nucleus.

- õ mano-tama 'he is at the point of dying' (Rule 1)
- o ke-ta 'he intends to sleep'
- o me'e 'he gave' (base form)

one variant: o mẽ'e is less common.

another:  $\tilde{o}$  me?e is more common (Qualification 1b). another extreme variant:  $\tilde{o}$  me?e occurs, and in such cases a slight postocclusion is sometimes heard on the nasal consonant:  $\tilde{o}$  m( $^b$ )e?e.

ama 'rain'

```
tupa 'gods, spirits'

tupa 'lying place'

oke 'opening'

o ke 'he slept'

ŏ ha^aro 'he waits' (Rule 1)

taj 'teeth'

ŏmbo poši 'he makes angry' (Rule 2)

ŏrŏ owahe-vi 'as we (exclusive) arrive' (Rule 1)
```

In the example above, the syllable he is nasalized (Qualification 1b).

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oro ηwahe 'we (exclusive) arrive'

In this case the syllable he is often oral (Qualification 1b).

οτε τεπβίου 'our (exclusive) food' (Rule 2)
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tẽmbi?u 'food' (Rule 2)

#### Notes

<sup>1</sup>The Kaiwá analyzed here is that spoken at the Francisco Horta Post, Dourados, Mato Grosso, Brazil. Field work for this paper was done during 1961 and 1962 under the auspices of the Summer Institute of Linguistics in cooperation with the Museu Nacional do Rio de Janeiro.

The segmental types of Kaiwá are: p, t, k, kw, ?, m, mb, n, nd, ñ, dy, j, n, ng, nw, gw, r, v, s, š, h, i, e, a, o, u, i. The segments kw, mb, nd, dy, ng, nw, and gw are interpreted to be simple unit phones on the basis of nonsuspect syllable patterns. The question of the taxonomic phonemic status of such pairs as m-mb and n-nd is not considered in this paper.

## THE MORPHOPHONOLOGY OF ASURINI WORDS

#### Carl H. Harrison

- 1. Introduction
- 2. Symbols Used and the Form of Rules
- 3. Morphophonological Rules for Asurini Words
- 4. The Rules Applied to Selected Text
- 1. <u>Introduction</u>. The purpose of this paper is to present a <u>number of important</u> rules for representing the contrastive pronunciation of slow-speech Asurini words. The form for presentation of the rules is related to that of synchronic item-and-process descriptions of this century in American descriptive linguistics. Certain notational conventions and the overall conceptual framework of transformational-generative linguistics are used.

The form for individual rules is L  $\Rightarrow$  R, where L ranges over any substring of a string of a derivation under consideration. L  $\Rightarrow$  R is to be read "replace L by R", and R is a string of symbols.<sup>3</sup>

A rule of this form needs an input. In this paper, input will be given as text written morphophonemically. This text will be divided into sentences, bounded by space; and words, bounded by #. The words will be referred to in this paper as input strings.

The input strings are made up of lexical forms, or morphemes, written in a symbol system which carries both lexical and phonological information in most cases. In a few cases only lexical information is carried. Lexical information is conveyed by writing each dictionary entry with the same sequence of symbols every time it occurs in an input string. Thus, all phonological variations of a form are suppressed at this stage so that what is felt to be a morpheme or formative is stored in the dictionary as one form. Phonological information is present in the following manner. The alphabet used to symbolize formatives in input strings conveys just that phonological structure which is common to all of the (phonological) variants of a given form.

A full set of redundancy rules as required in a generative phonology is not attempted here, although they could be formulated if they were felt to be within the scope of

this paper. Here we are basically concerned with both syntactically and phonologically conditioned alternation between, in the main, contrastive segment types corresponding in most cases to those types which would result from traditional segmental phonemic analysis.

A writing system, then, which includes lexical and phonological generalizations as outlined above satisfies our intuition that a form to which we assign the same (context determined) set of dictionary glosses in its various occurrences quite often has a substantial substratum of recurring phonological properties in all or most of these occurrences. Thus, the graphic representation of a lexical form in the input string symbolizes what is phonologically constant in that form, and the morphophonological rules of this paper account for a large part of the further regularities of pronunciation that are introduced by the context in which the form appears. As it turns out, the total number of segmental symbols needed to represent all lexical forms in the input strings is relatively small, supporting the generalization that linguistic communication depends on a small set of features and segment types for transmission of messages.

A number of intuitive constraints are put on the input alphabet. We have come to expect certain properties in phonological systems; among them, exploitation of a small number of articulatory and acoustic features, and preservation of certain kinds of syllable structure. An attempt has been made here to pay respect to such properties.

The author arrived at the input representations for the forms by what might be called synchronic reconstruction, a process which tries alternate writing systems until one appears that is useful for showing all of the generalizations that are felt to be possible for the system. The description then takes the form of a historical grammar where items from one stage (output) replace items for another (input).

Although the reconstructed morphophonological alphabet may have certain relationships to the phonological structure of earlier stages of the language, such problems are not considered in this work, though it is hoped that the results will prove useful to investigators working in related languages.

The actual phonological variants (allomorphs) of

lexical forms are written as strings of symbols from an output alphabet and the set of rules can be thought of as a theory about the relations between the two alphabets which may be modeled in Asurini slow speech.

The symbols of the output alphabet are taken from articulatory phonetics, one symbol per contrastive segment type, each representing a bundle of contrasting articulatory features. The input alphabet is somewhat larger, containing some ad hoc cover symbols for smaller sets of contrastive features as well as for possible null realization  $(\emptyset)$ .

The texts used as illustrations were elicited from speakers of Asurini. Neither noncontrastive phonetic detail of segments nor information about phonological units larger than the stress group or word (where such may contain more than one primary stress) is given.

- 2. Symbols Used and the Form of Rules. The symbols used in the rules are of the following types: segmental alphabet symbols, juncture marks, symbols for relations among segments, accent marks,  $\emptyset$ ,  $\infty$ , symbols for syntactic form classes, and one lexical symbol D which, as such, has no phonological content. The rules are ordered in such a fashion that the whole set is run through once for each word (#...#), with the added convention that upon arriving at a rule, that rule is to be applied as often as necessary for the word in question before moving on to the next rule. Ordering will be discussed in the presentation of the rules.
- 2.1. The following output alphabet reflects the general phonetic framework under which the field work was done. The symbol q is used for  $[k^w]$ . Certain problems of nonuniqueness occur: c could have y as an allophone. Yet there is another rule in which initial i is slightly shortened before vowels, making it a candidate for representation as y and bringing it into quasi-contrast with c. Such assignments involve the type of arbitrary judgments which are not necessary to the understanding of contrastive word pronunciation. Because of the continuous nature of speech, assignments to discrete segment types are indeed necessary at least for purposes of analysis. Most token assignments (once a phonological system is known) are not arbitrary. Some, however, may be. We will assume that such choices as are necessary for the purposes of description have been

made, and that certain segment types which are similar (w to o; y to i) may both be instantiated in any single phonetic segment token, even though we have chosen to consistently symbolize them as one or the other for descriptive purposes. Thus the rule for I says that I is more ilike in some environments, y-like in others, though evidence could be marshalled to defend assigning certain instances to either.

An articulatory phonetic apparatus is used because, though not so economical or so universal in its contrastive segment specification as the acoustic distinctive feature description, it has the advantage of being established in linguistics as a tool for field work and description, and of not requiring sophisticated equipment to practice. 5

The characteristics of the output alphabet as over against the input alphabet are that the elements of the output alphabet are uniquely specified by their features. The input alphabet has symbols for which some of these features are not specified. Such feature values are filled in by the rules. The purpose of the following matrix is simply to show the general universal phonetics under which the field work was accomplished, not to see to what minimum the actual features can be reduced, nor to show the complete structure of Asurini phonology. A numeral 1 in the matrix indicates that the feature at the head of the column is present in the segment which labels the row. O indicates that it is absent.

#### Consonants6

	stop	sib	nas	res	1ab	a1v	a1p	ve1	g1o
p	1	0	0	0	1	0	0	0	0
t	1	0	0	0	0	1	0	0	0
k	1	0	0	0	0	0	0	1	0
q	1	0	0	0	1	0	0	1	0
?	1	0	0	0	0	0	0	0	1
m	0	0	1	0	1	0	0	0	0
n	0	0	1	0	0	1	0	0	0

	stop	sib	nas	res	1ab	a1v	alp	ve1	g1o
ŋ	0	0	1	0	0	0	0	1	0
С	0	1	0	0	0	0	1	0	0
w	0	0	0	1	1	0	0	0	0
r	0	0	0	1	0	1	0	0	0
у	0	0	0	1	0	0	1	0	0
h	0	0	0	1	0	0	0	0	1

Vowe1s

front central back high low

i	1	0	0	1	0
е	1	0	0	0	1
a	0	1	0	0	1
0	0	0	1	1	0
i	0	1	0	1	0

2.2. Included in the input alphabet is the output alphabet. When symbols from the input alphabet appear in the input string, unless they are enclosed in parentheses (and hence disappear in some environments) they are carried through the rules without being replaced. Along with those symbols there are certain upper-case letters. Such symbols have at least one articulatory feature that is unspecified. In the following matrix those features that are unspecified for any symbol are marked with an empty pair of parentheses.

Notice that there are at least two unspecified features in each case. The purpose of the rules is to give the kinds of environments that will evaluate the features one way or the other. Any feature columns from the previous matrix that do not appear in this one are all O for the elements in this matrix. I have chosen to use cover symbols instead of feature matrices in the rules. Thus we retain readability at the cost of increasing our alphabet slightly. In the following matrix, 1 and 0 have the same

values as in the preceding matrix; V means 'vowel'; and C means 'consonant'. The rules disambiguate pairs or triples of mutually incompatible parentheses in any row.

Note that for N there is no corresponding resonant. This represents a lacuna in the phonological pattern. An  $\eta$  fills in for the missing resonant in the pattern of alternation.

An upper-case symbol, then, can be thought of as a variable to which the rules assign an appropriate constant or lower case symbol for any given environment.

	С	V	1ab	a1v	ve1	st	юр	na	ıs	res	5
P	1	0	1	0	0	(	)	(	)	0	
T	1	0	0	1	0	(	)	(	)	0	
K	1	0	0	0	1	(	)	(	)	0	
M	1	0	1	0	0	(	)	(	)	(	)
N	1	0	0	1	0	(	)	(	)	(	)
Ŋ	1	0	0	0	1	(	)	(	)	(	)
	С	v	high	fro	nt ce	ntı	a I	101	J ]	nack	
			_					10.		Juon	
I	( )	( )	1	1		0		0		0	
0	( )	( )	0	0		0		1		1	
Α	0	1	( )	0		1		( )	)	0	
	a?	lp	reson	ant	sibi	1ar	ıt	С			
Y		1	( )		(	)		1			

2.3. A part of a form which is enclosed in parentheses in the input strings stands for a phonological segment (or segments) which is lost (or zeroed, or has null realization) in certain environments, retained in others. The parentheses assert that, for whatever historical reasons, the enclosed segment is different from its corresponding segment without parentheses. Such a convention was chosen to

contrast with upper-case symbols since in the case of parenthesized elements a different type of phonological relationship is involved, namely, alternation with  $\emptyset$ .

Along with the alphabets, certain other upper case symbols are used.  $^{7}$ 

C is a variable for any consonant. It is used to mark the place in a substring where any one of the following occurs: p,t,k,q,?,m,n,n,c,w,r,y,h,P,T,K,M,N,N,Y, plus any of these enclosed in (). This symbol is then an abbreviation for a number of rules, one for each consonant.

V is a variable for any vowel and functions in the same manner as C in the rules. The vowels are i,e,i,a,o,I,O,A.

S stands for a syllable in the string. It is used in the rules that indicate accent placement. In order to determine accent, one needs to know how many syllables there are, which ones have inherent accents, and the kinds and locations of junctures in the combinations. We will introduce the following convention for determining the number of syllables in a string. For any string, every vowel is a syllable center and can be assigned an S; consonants may be disregarded. Accent placement is then determined according to the inherent accent marks, syllable symbols, and juncture marks in the string by applying Accent Rules 24-29. Syllables in Asurini have the structure V, CV, VC1, and  $CVC_1$ , where  $C_1$  is a variable for m,n,n, and y. There are no other restrictions or combinations, except that y never occurs word medially before V. Syllable boundary shifts occur to bring the structure of a string as closely as possible into a series of CV syllables. If the syntax gives a CVC<sub>1</sub> followed by a V, the structure imposed is CV.C<sub>1</sub>V. Some of the rules for null realization seem to reflect this pressure.

The following junctures are used. # marks the beginning and end of a word. // marks the coming together of two syntactical elements of the following types: (1) Two nouns joined to form a possessor-possessed relationship; (2) a noun stem followed by another noun stem in a (r)amo or (r)ire phrase. = indicates a complex stem juncture and - indicates all other boundaries between lexical forms. Junctures are important to the system, since a juncture will often form part or all of the relevant environment in which a certain replacement takes place. Although they

have no phonological feature content of their own, to formulate the rules without them would be a difficult job. Juncture symbols stand for grammatical boundaries which often bring about certain processes in segments which border on them. In fact, there is no single rule in the set which does not deal with the changes involving boundaries of forms. With the exception of Rule 13 which deals with an (apparently archaic) vowel harmony, and some accent rules, those changes take place right at the boundaries. It seems that syntactic information is important to understanding Asurini word pronunciation.

The justification for the different kinds of juncture symbols is twofold. On the one hand, they represent different grammatical processes and could each be given a formal characterization in the syntax. On the other hand, each represents a distinction from the others in the phonological activity around it in at least one of the rules. No doubt there are more juncture types that would need to be recognized for higher-level phonology.

There are two inherent kinds of accent. 'is weaker, disappearing in a word when preceded by a strong accent.' is strong inherent accent which is only zeroed in a word where it is followed by a strong accent, unless // juncture should come between them. Accent is phonetically an intensification of the signal in relation to surrounding syllables, plus a higher pitch, plus slight lengthening of the vowel and surrounding consonants.

A problem of interest in morphophonology is the determination of the minimal recurring part of all the possible environments in which a certain alternation occurs. Since the possible set of environments to be accounted for is potentially infinite by the very nature of the recursive processes within a language, a trial and error method based on ad hoc hypotheses has been used. These hypotheses come from a set of typological possibilities learned elsewhere. Two possible related goals concerning the choice of environments for rules giving slightly different results are worthy of note. The first is to state all and only the invariantly recurring elements of the set of possible environments for a process. The second is to state a minimum number of recurring elements in the set of all possible environments that it is necessary to state in order to distinguish that set from all the other environments where the process would not take place. In general, the second of these goals has been adopted. One case will illustrate.

-(t) is used as an environment symbol. However, -(t) only occurs in one form and hence is always followed by a. If the first goal were chosen, -(t)a would be used. Such a choice would involve a favoring of lexical conditioning for the process. Yet the choices are phonologically related, even if the environment itself is not phonological. Hence, except in the case of Aff 1, Aff 2, and Aff 3 (explained below) where there is no doubt about the nature of the conditioning, it is hoped that something of the (possibly lost) phonological conditioning may be reflected. This is a notational extension of the implicit assumption under which linguists often operate, that if a process is describable as both grammatically and phonologically conditioned, the latter type is used in the description.

Invariant environments for the Asurini rules are of the following types:

- (1) Single parenthesized letters of the phonological alphabets are used. These constitute phonological conditioning for the most part.
- (2) Two or more concatenated symbols of the alphabets are used. Such a substring is often part of one and only one form and hence is not a strictly phonological environment, though historically it may have been.
- (3) Syllable structure dominates a number of the processes. It must be remembered that such symbols as C and V in a rule represent an abbreviation for a number of rules.
- (4) Junctures appear in all of the rules and can be thought of as orienting the rule so that it applies in the proper place in the string. In the sense that junctures mark syntactical boundaries, none of the rules represents a purely phonological process. A possible qualification would be to say that once a grammatical boundary is established, such and such a process is phonological.
- (5) The symbol  $\infty$  is used to indicate that other environments have been mentioned in the same rule, and that if the string being scanned contains the symbol to be replaced as specified in the rule but does not match any of the other environment specifications in the rule, then the part of the rule containing  $\infty$  is to be applied.
- (6) When the name for a class of lexical forms appears, the conditioning is clearly syntactic. The

classes are as follows. (Note that the following numerical notation is economical for specifying the dictionary meanings of these forms. It will be used throughout the remainder of the paper.)

- 1 first person singular
- 2 second person singular
- 12 first person plural, inclusive of addressee
- 13 first person plural, exclusive of addressee
- 22 second person plural
- 3(3) third person, singular or plural

```
Aff 1 (affix class 1)

ce- '1', ne- '2', cene- '12', ore- '13',

pe- '22', I- '3(3)'.

Aff 2

we- '1', e- '2', cere- '12', ore- '13',

pece- '22', 0- '3(3)'.
```

To the person and number indication for this last set should be added 'reflexive orientation', roughly translated 'my own', 'your own', etc.

2.4. The Domain and Form of the Rules. Single rules may have the form

$$XAY \Rightarrow XBY^{10}$$

where X and Y stand for any substrings which remain invariant for the process and A stands for the symbols to be replaced by B. If nothing is supplied for X and Y in the rule, it is understood that it is indifferent for the rule what occurs in those positions. A is null in one rule, B is null in a number of rules. Ø is used for null B. Null realization means that no segments occur in the position indicated in the sequence.

If two rules have one or more structurally analagous parts in common, they may be put together into one rule by using the symbol(s) in common only once and using brackets in such a fashion that the other relationships are not lost. Given the rules

$$\begin{array}{ccc} AX & \Rightarrow & BX \\ AY & \Rightarrow & CY \end{array}$$

we can conflate them in the following fashion

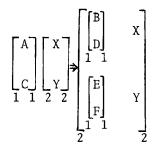
$$A \begin{bmatrix} X \\ Y \end{bmatrix} \Rightarrow \begin{bmatrix} BX \\ CY \end{bmatrix}$$

The brackets indicate that if the particular substring of the string of the derivation under consideration is of the form AX, one replaces it by BX and if the substring has the form AY it is to be replaced by CY.

Given the rules

$$AX \Rightarrow BX$$
  $AY \Rightarrow EY$   
 $CX \Rightarrow DX$   $CY \Rightarrow FY$ 

we can use subscripted brackets to reduce them to



The motivation for the use of such brackets is not necessarily economy since the number of letters lost is often offset by the number of brackets and numbers gained. The important gain is in being able to put what are intuitively the same kinds of generalizations into one rule.

The symbol  $\emptyset$  occurring in a rule is an instruction to delete its corresponding element on the left side.

An alternative form for the presentation of context-dependent replacement rules has been used in other phonologies. Thus a rule such as

$$A \quad \begin{bmatrix} X \\ Y \end{bmatrix} \Rightarrow \begin{bmatrix} BX \\ CY \end{bmatrix}$$

has often been given as

$$A \longrightarrow \begin{cases} B/\_Y \\ C/\_Z \end{cases}$$

In the case of C/Z, if a convention is allowed that the parts are ordered, Z can be omitted since the last replacing symbol is understood to mean in the environment 'elsewhere', and need not be specified. The choice of rule form is a matter of taste. Braces are often kept for abbreviating context free rules such as

$$A \to \left\{ \begin{smallmatrix} B \\ C \end{smallmatrix} \right\}$$

where either B or C may be chosen without regard to context. Brackets, on the other hand, are usually reserved for context-sensitive rules or transformations. Such is the motivation for the choice of form in this paper.

3. The Morphophonological Rules. In this section, the rules are presented with a discussion and examples for each. Words used for examples under any rule will be given to the left of the arrow with all of the changes they have undergone as a result of the application of preceding rules. To the right of the arrow, they will show the result of the application of the rule under discussion. Remaining changes for any example can be discovered by applying subsequent rules in order.

The segmental rules (1-23), in all but two cases (#1 for epenthesis and #13 for vowel harmony), contain either an upper case letter or a parenthesized segment or both. They can thus be grouped into six distinctive sets:

### (1) epenthesis: 1

- (2) vowel harmony: 13
- (3) possible null realization: 2,3,6,7,9,11, (part of 12)15,17,18,19,21.
- (4) possible realization by more than one lower-case element: 4,5,8,10,12,14,20,22,23.
  - (5) accent rules: 24-29
- (6) a rule for a lexical element with two unrelated forms: 16.

A full range of applications of the rules is also presented in Section 4 by giving texts in their input and output form. In some cases full or partial derivations are given to illustrate rule application procedures.

#### Rule 1

$$I - \begin{bmatrix} (0) \\ i \end{bmatrix} \Rightarrow I - c - \begin{bmatrix} 0 \\ i \end{bmatrix}$$

This is a rule for epenthesis.

#### Examples:

#I-iwirapaN-A# ⇒ #I-c-iwirapaN-A#

3(3)-bow-noun marker

'his/her/their bow(s)'

# Rul<u>e</u> 2

$$\begin{bmatrix} \frac{\text{Aff 1}}{\omega} \end{bmatrix} - (0) \Rightarrow \begin{bmatrix} \frac{\text{Aff 1}}{\omega} - 0 \\ \infty - \beta \end{bmatrix}$$

This describes a certain lexically conditioned alternation.

### Examples:

# Rule 3

$$\begin{bmatrix} V \\ C \end{bmatrix} - A - pe \implies \begin{bmatrix} V - \emptyset - pe \\ C - A - pe \end{bmatrix}$$

Words are characteristically marked with -A when functioning syntactically as nouns. They lose this when joining with other stems to form complex noun or verb stems. However, there is also one surface instance where A is lost. That is before the post-positional -(o)pe, when the last segment of the preceding stem is a vowel.

#### Example:

Note the ordering restriction with Rule 2. Were this rule to precede 2 the environment would have to be -(o)pe.

### Rule 4

$$\begin{bmatrix} e \\ \infty \end{bmatrix} - A \implies \begin{bmatrix} e - e \\ \infty - a \end{bmatrix}$$

The only occurrences of the morphophoneme A are in occurrences of the noun marker mentioned previously. This form does not carry its own innate accent.

#### Examples:

#má?e-A# 
$$\Longrightarrow$$
 #má?e-e#
something-n

### Rule 5

$$I - (e) \Rightarrow h - (e)$$

Rule 5 involves the commitative -(e) ro which has an idiosyncratic effect on I.

### Example:

#I-(e)ro'?aN-D# 
$$\Rightarrow$$
 #h-(e)ro'?aN-D#
3(3)-com-fall-dependent

# Rule 6

$$(V) - \begin{bmatrix} V \\ C \end{bmatrix} \Rightarrow \begin{bmatrix} \emptyset - V \\ V - C \end{bmatrix}$$

Parenthesized elements in general seem to come from vestiges of pressure to preserve acceptable syllable structure, although it is often the case that non-application of such a rule as this would give a syllable structure acceptable to Asurini as spoken now. A sequence such as na-V would not violate the norms.

#### Examples:

#
$$n(a)-0$$
'KeN-ihi#  $\Rightarrow$  # $n-0$ 'KeN-ihi#

negative-3(3)-sleep-negative

# $t(e)-pe$ '?o#  $\Rightarrow$  # $te-pe$ '?o#

in order for-22/3(3)-eat

'in order for you all to eat it'

# Rule 7

This rule is an exception to the general Rule 9. Therefore the ordering of this rule with respect to 9 is important.

### Example:

#cene-(e)ro'(to)N-
$$\underline{D}$$
#  $\Rightarrow$  #cene-ro'(to)N- $\underline{D}$ # x/12-commitative-come-dependent verb marker

### Rule 8

$$C - \begin{bmatrix} I \\ 0 \end{bmatrix} \Rightarrow C - \begin{bmatrix} i \\ 0 \end{bmatrix}$$

This rule is partially repeated in Rule 23 for convenience since we are concerned here with activity near the beginning of words and there with the end. This repetition saves us a few ordering problems. The C referred to in the rule are the ones given to us by Rule 6.

#### Example:

$$n(a)-I \implies n-I$$

This is clearly a syllable structure rule. It is importantly ordered with respect to 10 since 10 under certain conditions gives y and w for I and O. This ordering reflects the fact that the previous segment dominates.

#### Example:

#
$$n-0^2$$
? $aN-ihi#  $\Rightarrow$  # $n-o^2$ ? $aN-ihi#$  $neg-3(3)-fall-neg$$ 

# <u>Rule 9</u>

$$\begin{bmatrix} \begin{bmatrix} 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 2 & 0 & 2 \end{bmatrix} - (e) \implies \begin{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 2 & 0 & 0 & 0 & 0 \end{bmatrix}$$

### Examples:

Notice the absence of pre-CV juncture in the last case.

### Rule 10

13-com-walk

$$\begin{bmatrix} I \\ O \\ I \end{bmatrix} - \begin{bmatrix} C \\ V \\ 2 \end{bmatrix} \implies \begin{bmatrix} \begin{bmatrix} i \\ O \\ I \end{bmatrix} - C \\ \begin{bmatrix} M \\ W \\ M \end{bmatrix} - V \\ \begin{bmatrix} M \\ I \end{bmatrix} \end{bmatrix}$$

This accounts for regular shortening and heightening of third person prefixes before vowels.

### Examples:

### <u>Rule</u> 11

$$\begin{bmatrix} \frac{\text{Aff 3}}{\infty} \end{bmatrix} - (\text{to}) \implies \begin{bmatrix} \frac{\text{Aff 3}}{\infty} - \text{to} \\ \infty - \emptyset \end{bmatrix} \quad (\text{Aff 2 - Aff 3} \\ = \text{o-})$$

The verb root -(to)N $^{11}$  'to come' is subject to an irregularity not found elsewhere: syntactically conditioned loss of part of the form (after certain affixes). The parenthetic statement is to be read: Aff 3 is a subset of Aff 2 which contains all of the elements of Aff 2 except o-.

### Examples:

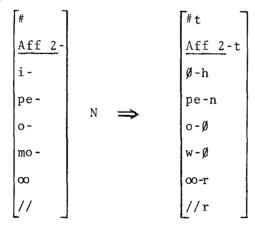
#we'(to)N-D# 
$$\Rightarrow$$
 #we'toN-D# (eventually wétota)

1-come-dependent marker

#o'(to)N#  $\Rightarrow$  #o'N# (eventually on)

3-come

# Rule 12



 $\frac{Aff\ 2}{h\ may}$  is discussed in Section 2. The collapsing of i-N to  $\frac{h\ may}{h\ may}$  be a slightly artificial solution since it is not clear where the h comes from. It does seem that it is related to the h in Rule 5. We could formulate this rule so that h would replace i and N would go to  $\emptyset$ . The choice is arbitrary.  $^{12}$ 

#### Examples:

# <u>Rule 13</u>

$$\begin{bmatrix} ero \\ \infty \\ 1 \end{bmatrix} - \begin{bmatrix} ha \\ ka \\ 2 \end{bmatrix} \Rightarrow \begin{bmatrix} era \\ \infty \\ 1 \end{bmatrix} - ha \\ \begin{bmatrix} ere \\ \infty \\ 1 \end{bmatrix} - ka \\ \begin{bmatrix} ere \\ \infty \\ 1 \end{bmatrix} - ka \end{bmatrix}$$

This is a rule for two verbs whose paradigms are irregular,

possibly because they are among the most commonly used forms and hence more resistant to analogic levelling: -ha 'go', -ka 'to be present, now'. Several syntactic processes place o before the forms mentioned.

### Examples:

# <u>Rule 14</u>

$$\begin{bmatrix} m0 \\ \infty \\ 1 \end{bmatrix} - \begin{bmatrix} P \\ T \\ K \end{bmatrix} \Rightarrow \begin{bmatrix} m0 - \begin{bmatrix} m \\ n \\ 0 \end{bmatrix} \\ 2 \end{bmatrix} \begin{bmatrix} p \\ t \\ k \end{bmatrix}$$

Certain verb stems which under most conditions begin with stops, begin with corresponding nasals when preceded by mocausative.

# Examples:

#o'KeN# 
$$\Longrightarrow$$
 #o'keN#
$$3(3)-s1eep$$

### Ru1e 15

$$C_3 = \Rightarrow \emptyset = (C - C_3 = y)$$

This rule is concerned with compound or complex stem formation and is one of the justifications for establishing = juncture. (The other is related to accent.) It states that when, and only when, two stems are joined, if the first ends in a consonant other than y, and the second begins in a consonant, the first consonant will drop. The parenthetic notation means that C<sub>3</sub> contains the same elements as C except for y. In a complete grammar this juncture mark would be inserted by the syntax.

#### Examples:

#o-manáhaN≤paM# ⇒ #o-manáha≤paM#

3(3)/3(3)-cut-finish

#o²kay²paM# ⇒ #o²kay²paM#

3(3)-burn-finish

Reduplication of stems brings this rule into effect if the stem ends in a consonant other than y and the stem has at least two consonants.

#### Example:

#i-qaN=qaN# ⇒ #I-qa=qaN#

3(3)-has several holes

### Rule 16

$$\begin{bmatrix} C \\ V \end{bmatrix} - \underline{D} \implies \begin{bmatrix} C - (t)a \\ V - w \end{bmatrix}$$

This rule contains the lexemic symbol discussed in Section 2. The symbol (D) refers to no phonological content as

such but stands for two phonologically unrelated forms which are clearly assignable to the same dictionary entry. It is a grammatical marker of dependency, a verb suffix whose occurrence relates the embedded clause in which the verb occurs to the sentence or paragraph in which the clause occurs. Although the variants are phonologically unrelated, their occurrences are phonologically conditioned. 13

#### Examples:

#a'ha-
$$\underline{D}$$
#  $\Rightarrow$  #a'ha-w#

3(3)-go-dependent

#o'keN- $\underline{D}$ #  $\Rightarrow$  #o'keN-(t)a#

3(3)-sleep-dep

# <u>Rule 17</u>

$$\begin{bmatrix} \begin{pmatrix} N \\ 1 \end{pmatrix} \end{bmatrix} - \begin{bmatrix} \# \\ \omega \\ 2 \end{bmatrix} \Rightarrow \begin{bmatrix} \begin{bmatrix} \emptyset \\ 0 \end{bmatrix} - \# \\ \begin{bmatrix} N \\ 1 \end{bmatrix} \\ \begin{bmatrix} N \\ N \end{bmatrix} - \omega \\ 2 \end{bmatrix}$$

This rule is concerned with a small number of stems that drop final consonants in word final positions.

# Examples:

#o-pfhi(N)# 
$$\Rightarrow$$
 #o-pfhi#
 $3(3)/3(3)$ -catch
#o-pfhi(N)-(r)áme#  $\Rightarrow$  #o-pfhiN-(r)áme#
-continuative

The reader is referred to Rule 20 for a discussion of the verb -pótaN 'like, desire' and the suffix -(pó)ta(N) 'future, desiderative'.

# Ru1e 18

$$\begin{bmatrix} C \\ V \end{bmatrix} - (r) \implies \begin{bmatrix} C - \emptyset \\ V - r \end{bmatrix}$$

Certain suffixes begin with the segment -(r). This is one of the eleven segmental rules where syllable structure plays a part.

#### Examples:

#a'?aN-(r)áme# 
$$\Rightarrow$$
 #a'?aN-áme#

1-fall-now (eventually a?aráme)

#o'?o-(r)áme#  $\Rightarrow$  #o'?o-ráme#

3(3)/3(3)-eat-now

### <u>Rule</u> 19

$$\begin{bmatrix} C \\ V \end{bmatrix} - \begin{bmatrix} (po) \\ (c) \end{bmatrix} \Rightarrow \begin{bmatrix} C - \begin{bmatrix} \emptyset \\ \emptyset \end{bmatrix} \\ 2 & 2 \\ V - \begin{bmatrix} po \\ c \end{bmatrix} \end{bmatrix}$$

There is only one form in the language which contains (po). It is the suffix -(po)ta(N) 'future, desiderative', appearing often in text. As one can see by the base form, it has the following variants:

(c) occurs in a small number of suffixes.

### Examples:

#o'keN-(pó)ta# 
$$\implies$$
 #o'keN'ta# 3(3)-sleep-future

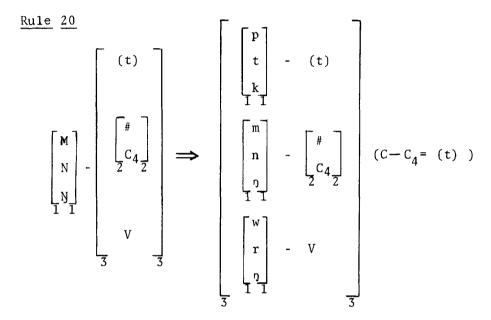
#a'ha-(pó)ta# 
$$\Rightarrow$$
 #a'ha-póta#

1-go-future

#o'keN-(c)awa#  $\Rightarrow$  #o'keN-awa#

3-sleep-perhaps

#a'ha-(c)awa#  $\Rightarrow$  #a'ha-cawa#



The parenthetic notation indicates that  $C_4$  has the same elements as C except for (t) which belongs only to C. A large number of stems end in one of the three upper-case nasals, and hence the rule will appear often in actual derivations. A real problem in ordering occurs here. If we allow the rule for deletion of (t) to precede this one, we are left with the vowel that follows it as an environment which would then invalidate the generalization we wish to make about the nature of change before -V. If we put the (t) rule after this one we must indicate that it is not to be considered one of the consonants of the language for this rule. Clearly the conditioning is grammatical for p, t, k and phonological for the rest (with the exception of the environment #). Hence ordering problems are a result

of the attempt to present fundamentally different kinds of conditioning in the same set of rules. Such a practice is justified, however, by the striking systematic phonological relations that obtain among the variants. The environment \_\_\_\_(t) is quasi-phonological but basically lexico-syntactic.

#### Examples:

The verb -potaN 'desire, like', related to the suffix -(pó)ta(N), retains the final consonant in all cases, hence is not subject to Rule 17 as is the suffix, but follows the alternations described in Rule 20.

#i-pótaN-(t)a# 
$$\Rightarrow$$
 #i-pótat-(t)a#  
#o-pótaN-áme#  $\Rightarrow$  #o-pótar-áme#

### Ru1e 21

$$\begin{bmatrix} Y \\ C_3 \end{bmatrix} - (t) \Rightarrow \begin{bmatrix} Y - t \\ C_3 - \emptyset \end{bmatrix}$$

Note that  $C_3$  was used earlier in 15. In at least two types of instances y does not behave like a C. One possible solution is to create another classification such as semivowel. The alternative is to do as we have done and recognize that under certain conditions its vocality asserts itself. One should not fail to notice that the symbol (t) was created for the case y- . The only other justificiation is the fact that when  $\overline{-(t)}$  is used as an environment, it may be thought of as imparting its stop quality to the preceding M, N and N (see Rule 20).

#### Examples:

#o'kaY-(t)a# 
$$\Rightarrow$$
 #o'kay-ta#
3(3)-burn-dep
#i'?ak-(t)a#  $\Rightarrow$  #i-?ák-a#
 $x/3(3)$ -dig up-dep

# Ru1e 22

$$Y - \begin{bmatrix} \begin{bmatrix} C \\ \# \\ 2 \end{bmatrix} \\ V \end{bmatrix} \implies \begin{bmatrix} y - \begin{bmatrix} C \\ \# \\ 2 \end{bmatrix} \\ c - V \end{bmatrix}$$

This describes an interesting alternation which is not characteristic of any other pair in the language. Were it not for the y-like quality of prevocalic I, one would be tempted to call c and y allophones in phonemic terminology.

#### Examples:

3(3)-burn

#o-'kaY-'ta# ⇒ #o-'kay-'ta#

-future

Note that (po) disappears after y, which means that in this case y is behaving like a consonant environment (see Rule 20).

#### Rule 23

$$\begin{bmatrix} C \\ V \end{bmatrix} - I \implies \begin{bmatrix} C - i \\ V - y \end{bmatrix}$$

See Rule 8 for this. It is repeated here to solve ordering problems. The purpose is to take care of two instances of the shortening of i in suffixes. One is -Ihi 'negative' and the other is -I, a grammatical agreement form indicating that either a relator word (adverb) or a postpositional phrase or both precede the main verb in the sentence.

#### Examples:

3(3)-go-agreement

#i-ker-I# -> #i-ker-i#

3-sleep-agreement

The following rules are concerned with the placement of accent in words. Such rules must account for the fact that a word will only have one accent mark in the terminal string (unless there is no inherent accent in any of the constituent forms). The placement of that accent is a function of the inherent accents and their relationship to

each other and junctures.

The following conventions will be observed. In ...Ś...Ś#, three dots indicate that there may be from 0 to n unaccented syllables between the S's, and 0 to n syllables, accented or unaccented, before the first S (that is, to the left of it). In #...S...S...# the three dots will indicate from 0 to n unaccented syllables.

### Rule 24

$$\begin{bmatrix} #s...# \\ //s...# \end{bmatrix} \Rightarrow \begin{bmatrix} #ś...# \\ //ś...# \end{bmatrix}$$

Occasional single syllable forms may appear. Innate accent for all forms is on the penult. Hence a form with only one syllable is given by the dictionary in the form 'S. If such a form is given by the syntax directly following a # or // juncture, accent is progressive to the only syllable of the form.

### Examples:

#
$$^2a\eta$$
-a#  $\Rightarrow$  # $^2a\eta$ -a#
house-n
# $^cawar$ -a/ $^ci$ -a#  $\Rightarrow$  # $^cawar$ -a/ $^ca$ -a#
dog-n//nose-n

//juncture has been set up for the express purpose of accounting for the fact that in constructions such as noun possessing noun, accent remains on both nouns. Although a certain morphophonological change (Rule 12) does take place at this boundary which makes it distinct from # juncture, it does not allow the zeroing of accents which precede it in the construction as would = and - junctures (Rule 29).

#### Rule 25

$$S \neq S \implies \acute{S} = S$$

= juncture, though essentially affecting the bordering

segments differently than does - juncture, allows accent to regress to the final syllable of the preceding form.

### Example:

$$3(3)/3(3)$$
-make/do-finish

# Rule 26

$$s + s \Rightarrow s - s$$

Accent behaves the same way here as in Rule 25 when the following morph consists of a single syllable.

#### Example:

$$3(3) - s1eep$$

### Rule 27

Post-positionals which occur with weak innate accent lose their accent if preceded in the word by another accented syllable.

#### Example:

house-n-in

### Ru1e 28

The innate accent of a postpositional becomes the word accent if not preceded by an accented syllable elsewhere in the word.

### Example:

This is the rule for zeroing all remaining innate accents within a word but the last one. If there are more than two accents this rule is mechanically applied the necessary number of times, taking pairs of accents two by two, until only one accent is left between the pairs of juncture marks #...//,//...# and #...#, with the understanding that either // or # defines the limit of the operation. That is, it is understood that neither of these marks appears elsewhere in the string indicated to the left of the rule, except at the very left of the substring under consideration.

### Examples:

There is a further phonetic rule which is concerned with secondary accent. Once the primary accent has been established one can place secondary accent regressively on every other syllable. This involves phonetic detail which is automatic once primary accent is established, and for this reason it is not formalized here. Two interesting notes concerning secondary accent deserve mention. For finding the appropriate syllable structure of a combination, Vov is to be counted as one syllable. Also, secondary accent may accidently fall on the same syllable as the original inherent accent.

### Example:

#iwo?oetimakinanawa# (secondary accent underlined)
soap-n

# Rule 3014

Simply for purposes of easier reading we may apply this orthography rule for the deletion of lower level junctures.

$$\begin{bmatrix} - \\ - \end{bmatrix} \Rightarrow \begin{bmatrix} \emptyset \\ \emptyset \end{bmatrix}$$

# 4. The Rules Applied to Selected Texts.

4.1. Introduction to the Presentation of Selected Texts. The purpose of this section is to give a broad sample of possible rule application combinations, as well as to give the reader some idea of other features of the language for their own sake. The texts presented were obtained from Asurini informants, and transcribed and analyzed with their help.

In some cases, complete or partial derivations are given. In other cases, the input form is given and the output form is given. The reader is free to compare the two in order to determine which rules have been applied.

With each sentence in the text the following information is presented:

(1) Given with each form is a gloss directly above it

so as not to interfere with the continuity of the derivation below it. Numerals are used for persons as explained before. A slant line with numerals on both sides indicates that the numeral to the left of the line is the person of actor and the numeral to the right is the person of goal of the verb. Such a combination also indicates that the verb is transitive.

#### Examples:

- 1/3(3) 'I acted upon him, her, it, them'
- x/3 'someone (unspecified) acted upon me'
- 22/13 'you (plural) acted upon us (myself and another)'
- (2) Above the line containing dictionary glosses there will be a line with labels for word or phrase types. Such a labelling represents a surface analysis of the sentences.

#### Symbols:

I: independent part: particle

D: dependent (r) ire phrase: phrase ending in

i: intransitive (r) ire

t: transitive (r) amo phrase: phrase ending in

N: noun phrase (r) amo

V: verb phrase Dsc: descriptor

Aux: auxiliary verb dep: dependence marker

com: commitative PP: post positional

n: noun marker Aff: affix

There is one further procedure that must be followed. Occasionally one may reach a point where it is possible to apply a single rule twice within one word. The rules are designed so that all possible applications of a rule within a word (# #) should be made before moving on to the next rule. Failure to observe this may result in an incorrect derivation. An example is given here to illustrate the convention.

```
#3-sleep-future-continuative#
input
         #O'KeN-(pó)ta(N)-(r)áme#
   1.0
         #o'KeN-(po)ta(N)-(r)ame#
   14
         #o'keN-(po)ta(N)-(r)ame#
   17
         #o'keN-(pó)taN-(r)áme#
   18
         #o'keN-(pó)taN-áme#
   19
         #o'keN'taN-ame#
   20
         #o'ken'taN-ame#
   2.0
         #o'ken'tar-ame#
   2.6
         #ó-ken-tar-áme#
   26
         #6-kén-tar-áme#
   29
         #ó-ken-tar-áme#
   29
         #o-ken-tar-áme#
   30
```

A full derivation for a sentence in this part of the grammar would begin with the terminal string of the syntax as the first line, then apply all of the rules in order, one at a time, to a word. It would then move on to the next word, and so forth for the whole sentence. In such a derivation, if a symbol is not being replaced by another through application of a rule, it is carried on to the next line of the derivation. Only one rule is applied on each line. The purpose of such a derivation-oriented rule system is to provide an explicit mechanical method for checking to see if the rules are correct.

#okentaráme#

30

To give full derivations for all examples would take too much space. Therefore, one full derivation is given for purposes of illustration and thereafter they will be progressively shortened. Some of the final examples will simply give the input and output for whole sentences, leaving the reader to observe the rules that have been applied.

In the following complete derivation, the number of the rule being applied to a line n which gives its results on line n+1 will be put to the left of line n+1.

#IiV#Aux iV#

#3-walk-future#3-go#

#O-áta-(pó)ta(N)#O-ha#

- 10 #w-áta-(pó)ta(N)#0-ha#
- 17 #w-áta-(pó)ta#0-ha#
- 19 #w-áta-póta#0-ha#
- 29 #w-ata-póta#0-ha#
- 30 #wata-pota#O-ha#
- 30 #watapóta#O-ha#
- 10 #watapóta#o-ha#
- 13 #watapóta#a-ha
- 26 #watapóta#á-ha#
- 30 #watapóta#áha#
- 4.2. The Texts. In the following derivations, only the word in question will be carried to the following line. Some lines will show more than one rule application.

# Text 1

```
1.1.
      #NP#ItV#AiV#DtV#DtV#
      #grass-n#1/3-cut#i/now#X/3-cut-dep#X/3-rake-dep#
      #coówi-A#a-manáhaN#we-ka#I-manáhaN-D#I-péhiN-D#
      4,30 #coówia#
      20,30
                   #amanáhan#
      26.30
                            #wéka#
      10
                                 #i-manáhaN-D#
      16
                                 #i-manáhaN-(t)a#
      20
                                 #i-manáhak-(t)a#
      21
                                 #i-manáhak-a#
                                 #imanáhaka#
      30,30
      10
                                            #i-péhiN-D#
      16
                                            #i-péhiN-(t)a#
      20
                                            #i-péhit-(t)a#
      21,30,30
                                            #ipéhita#
      #coówia#amanáhaŋ#wéka#imanáhaka#ipéhita#
1.2.
      #ItV#ItV#Aux iV#DtV#
      #1-rake#1-scrape#1-here#X/3-cut-dep#
      #a-moiriN#a-péhiN#we-ka#I-manáhaN-D#
      20
           #A-moirin#
      20
                     #a-péhin#
                             #we-ka#
      26
```

```
#i-manáhaN-D#
      10
                                   #i-manáhaN-(t)a#
      16
                                   #i-manáhak-(t)a#
      20
                                   #i-manáhak-a#
      21
      30,...,30. #amoíriŋ#apéhin#wéka#imanáhaka#
1.3.
      #ItV#Part#N#
      #1/3-cut-future#again#grass-n#
      #a-manahaN-(po)ta(N)#no#coowi-A#
           #a-manáhaN-(pó)ta#
      17
           #a-manáhaN'ta#
      19
      2.0
          #a-manáhaŋʻta#
         #a-manáhán-ta#
      26
      29
          #a-manahán-ta#
       4
                        #no#coówi-a#
             #amanahánta#no#coówia#
1.4.
      #Adv#(r)amo-phrase#IiV#Aff-PP#
      #there#sun-n-go down-when#I-leave-future#3-from#
      #pe#qaN-A-ha-(r)amo#a-pohin-(po)ta(N)#I-(o)hi#
      24
            #pé#
            #qaN-a-ha-(r)amo#
            #gaN-a-ha-ramo#
      18
            #qar-a-ha-ramo#
      20
  24,etc.
           #qár-a-ha-ramo#
      29
            #gar-á-ha-ramo#
    30,-
            #qaráharamo#
```

17	#a-póhin~(pó)ta#	
19	#a-póhin-ta#	
26	#a-póhín-ta#	
29	#a-pohin-ta#	
30,-	#apohinta#	
1	# I-c-(δ)hi	.#
2	# I-c-dhi#	
10	#i-c-dhi#	
28,30,-	#icóhi#	
	#DiV#DtV#N#	
	#1-eat-dep#X/3-eat-dep#game me	at#
	#we-káro- <u>D</u> #I-20- <u>D</u> #ma?eá?a-A#	
16	#we-káro-w#	
30,-	#wekárow#	
10	#i-?o- <u>D</u> #	
16	#i-'?o-w#	
26	# 1 - ? o - w#	
30	#1?ow#	
4	#ma <sup>?</sup> eá <sup>?</sup> e-a#	
30	#ma?eá?aa#	

#wekárow#1?ow#ma?eá?aa#

Line 1: word types

Line 2: dictionary glosses

Line 3: input form

Line 4: output form (terminal string)

Line 5: numbers of rules applied for each word under

that word.

#### 1.5. #DiV#Part#

#I-bathe-fut-dep#also#

#we-cáhoŊ-(pó)  $ta(N) - \underline{D}$ #we#

#wecahontata#we#

#16,17,19,20,21,28,29,30...# --- #

1.6 #DSC#Part#Part#

#I-hot-fut#emphatic#again#

#ce-piri?ay-(pó)ta(N)#ca#no#

#cepiri?áyta#ca#no#

#17,19,28,29,30# - # - #

1.7. #(r)ire-Phrase#N-PP#IiV#

#X/3-cut-finish-when#soap-n-by means of#I-bathe-fut.#

#I-manáhaN=paM-(r)ire#iwo?oetimakinánaM-A-po#

#imanahapawíre#iwo?oetimakiŋáŋawa-po#

#10,15,18,20,28,29,30...# 4,20,30#

#IiV#

#1-bathe-future#

#a-cáhoN-(pó)ta(N)#

#acahónta#

#17,19,20,28,29,30#

Free translation of Text 1.

1. I was cutting grass over there. 2. I rake it and cut it. 3. I'm going to cut some more. 4. When the sun goes down there I'm going to quit and dine; I'll eat some meat. 5. I'll take a bath too. 6. I'll be very hot. 7. When I finish cutting (the grass), I'll take a bath with soap.

The following text will be given in this form:

Line 1: word/phrase names

Line 2: dictionary glosses

Line 3: input form

Line 4: output form

2.1. #Tiv#Aff=PP#DiV#N#

#I=ta1k#2-to#I-sit-dep#I-n#

#a-porogéta#ne-(d)pe#we-toyn-D#ice-A#.

#aporonéta#neópe#wétoyna#icee#.

2.2. #ItV#N#DiV#Aff=PP#

#orienter-2/3-write on#paper-n#2-sit-dep#1-facing#
#t(e)-ere-mo-ciwaN#pape-A#e-?iyn-D#ce-(e)ro-wake#
#teremociwan#papee#e?iyna#cerowake#

pápee < papel (Ptg.)

2.3. #IiV#Aff=PP#DiV#N-PP#

#orienter-1-look-orienter#3-at#I-sit-dep#2-write--instr.-n-at#

#t(e)-a-má?e-ne#I-Nèhe#we-toyn- $\underline{D}$ #ne-mo-c $\underline{t}$ wa $\underline{N}$ -(t)aM-A--Nèhe#

#tamá?ene#héhe#wétoyna#nemociwákawarehe#

2.4. # ItV#DiV#N#DtV#

#2-write-write-fut#2-sit-dep#paper-n#X/3-write-dep#

#ere-kánaM=kánaM-(pó)ta(N)#e-toyn-D#pápe-A#I-mo-cfwaŊ-D#

#erekanakanámta#étoyna#pápee#imocfwaka#

note: toyn may go to ?iyn following e-.

#### 2.5. #IiV#DiV#DiV#Aff=PP#

#I-sit-fut#I-sit-dep#I-look-dep#2-at#
#a-ápɨŊ-(pó)ta(N)#we-toyn-D#we-má?e-D#ne-Nèhe#
#aapɨŋta#wétoyna#wemá?ew#neréhe#

#### 2.6. #IiV#Aff=PP#DiV#

#I-see-fut#2-at#I-sit-dep#
#a-má?e-(pó)ta(N)#ne-Nèhe#we'toyn-<u>D</u>#
#ama?epóta#neréhe#wétoyna#

#### Text 2 Free Translation

1. I'm sitting talking to you. 2. You're writing on paper seated there across from me. 3. I'm sitting looking at it, at your pen. 4. You're writing and writing on the paper sitting there. 5. I'm going to sit down and look at you. 6. I'll sit here and look at you.

#### Text 3

1. I'm going to cut grass. 2. I'm going to cut just a little bit. 3. When the sun has only a little way to go down I'm going to leave it (quit) and take a bath. 4. That's all.

#### Text 4

1. I'm going hunting. 2. I'm going to kill a deer and bring it. 3. There is no meat around to eat.
4. Because of this (3) I'm going hunting to kill deer and wild pig and bring them back. 5. That's what I'm going to kill and bring.

```
3.1. #N#ITV#Aux iV#
      #grass-n#i-cut-fut#I-go#
      #coówi-A#a-manáhaN-(pó)ta(N)#we'ha#
      #coówia#amanahánta#wéha#
     #Adv#ItV#Aux iV#
3.2.
      #a little#I-cut-fut#I-go#
      #pípi#a-manáhaN-(pó)ta(N)#we'ha#
      #pipi#amanahanta#wéha#
3.3. #Dsc#IiV#Aff=PP#DiV#
      #sun-small#I-leave-fut#3-from#I-bathe-dep#
      #qaráhi-pípi#a-póhin-(pó)ta(N)#I-(d)hi#we-cáhoN-D#
      #qarahipípi#apohínta#icóhi#wecáhoka#
3.4. #IiV#
      #3-finish#
      #0'paM#
      #opam#
4.1. #IiV#Aux iV#DtV#
      #I-walk-fut#I-go#X/3-kill-dep#
      #a-áta-(pó)ta(N)#we-ha#I-cóka-D#
      #aatapóta#wéha#icókaw#
4.2. #N#part#DtV#ItV#
      #deer-n#indef.#X/3-com.-come-dep.#12/3-eat#
      #m1caN-A#mo# I-(e)ro-(to)N-D#ca-?o#
      #micara#mo#hérota#cá?o# (e)ro is not Aff 3)
```

4.3. #anohi# N# N#

# there is no# food# game meat#

# anohi# kome-A#ma ea a-A#

# anohi# komee # ma ea a-A#

# anohi# kome - A#ma ea a-A#

# anohi# kome - A#ma ea a-A#

# anohi# N# N#

# anohi# kome - A# ma ea a-A#

# anohi# kome - A#

# anohi# kome - A# ma ea a-A#

# anohi# kome - A#

# anohi# kome - A# ma ea a-A#

# anohi# kome - A#

# anohi# kome - A# ma ea a-A#

# anohi# kome - A#

# anohi# kome - A# ma ea a-A#

# anohi# kome - A#

#icókaw#mfcara#ciwá?aa#

4.5. #Adv#ItV#DtV#

#these 1/3-kill-fut#X/3-com-come-dep#

#eomi#a-cóka-(pó)ta(N)#I-(e)ro-(to)N-D#

#eómi#acokapóta#hérota#

# Text 5

- 5.1. #Adv#N=PP#particle#IiV#particle#

  #there#Trocara-n-to#rec-part#12-go#again#

  #qeY#tokára-A-Nðpi#raka#ca-ha#no#

  #qéy#tokáraaropi#raka#cáha#no#
- 5.2. #N=PP#part# IiV# DtV# N#part# DtV#

  #it=to#hortative#12-go#X/3-ki11-dep#thing-n#indef#

  #a?e-A-Nôpi#ke#ca'ha#I-cóka-D#má?e-A#mo#I-(e)ro'(to)N-D#

  #a?eeropi#ke#cáha#icókaw#má?ee#mo#hérota#

5.3. # Adv#part# N# IiV#

#there#rec-past#motor-n#12-com-go#

#pe#raka#móto-A#ca-(e)ro-ha#

#pé#raka#mótoa#caráha# mótoa < Ptg. motor

5.4. #N=PP#part#ItV#DtV#N#

#that-n-at#hortative#12-com-arrive#X/3-com-go#motor-n#

#á?e-A-(d)pe#ke#ce-(e)ro-hem#I-(e)ro-ha-D#móto-A#

#á?epe#ke#ceróhem#heráhaw#mótoa#

5.5. #DtV#DtV#N#part#DtV#

#X/3-kill-dep#X/3-com-come-dep#game meat#indef#X/3-eat-

dep#

#I-cóka-D#I-(e)ro-(to)N-D#ma?eá?a-A#mo#I-?o-D#

#icókaw#hérota#ma?eá?aa#mo#i?ow#

5.6. #N#part#part#DtV#

#fish-n#indef#also#X/3-catch-D#

#ipira-A#mo#we#I-pihi(N)-D#

#ipfraa#mo#we#ipfhika#

### Text 5. Translation

1. We went off to Trocará. 2. We waited there to kill something and bring it back. 3. We took the motor boat there. 4. We arrived there taking the motor. 5. We killed game and brought it to eat. 6. We also

caught fish.

## Text 6

6.1. #N#part#ItV#Aux tV#N#

#Garotão-n-unattested#3/3-make#X/3-com-here/now#

manioc-finisher-n#

#karótaM-A-(r)imo#O-dpo#I-(e)ro-ka#mani?aŋópaM-A#

#karótawarimo#oápo#heréka#mani?aŋópawa#

(karótawa < Ptg. Garotão)

6.2. #N#ItV#Aux tV#(r)amo phrase#

#stream-n#3/3-divide#3/3-commitative-here/now#
manioc-n//holder-to become#

# iharápe-A#O-mánaŊ#I-eroʻka#maní?aŋ-A//Níro-(r)amo# # iharápee#ománaŋ#heréka#maní?aŋa//ríroramo#

6.3. #N#It#Aux tV#N#(r)amo phrase#

# stream-n#3/3-divide-indef#X/3-commitative-here/now#
Tetenoa#manioc-n//holder-become#

# iiqápi-A#O-mánaŊ-(r)imo#I-(e)ro-ka#tetéŋo-A#maní?aŋA//Niro-(r)amo#

#iiqápia#ománajimo#heréka#tetéjoa#maní?aja//riroramo#

6.4. #DtV#Aff-PP#N#

#X/3-throw-throw-fut-dep#X/3-in#manioc#
#I-mána=mána-(pó)ta(N)-D#I-płpe#maní?an-A#
#imanamanapótata#ipfpe#maní?ana#

6.5. #Adv#DtV#Adv#(r)amo Phrase#

#far off#X/3-roast-fut-dep#tomorrow#3-soft-when#

#qeY#I-p£koY-(pó)ta(N)-D#océ?iwe#I-táwa-(r)amo#

#qéy#ipikóytata#océ?iwe#itáwaramo#

## 6.6. #IiV#Aff-PP#(r)ire Phrase#

#3-come-fut-indef#3-from#X/3-do-finish-when#

#0'(to)N-(po')ta(N)-(r)imo#I-(o')hi#I-apo'paM-(r)ire#

#ontarimo#icohi#iapopawire#

#### 6.7. #Itv#N#TiV#N#

#3/3-do-finish-fut-indef#manioc-n//holder-n#3-come-fut-

indef#Garatao-n#

#O-4po'=paM-(po')ta(N)-(r)imo#mani?an-A//Niro-A#O'(to)N-

(pd)ta(N)-(r)imo#kardtaM-A#

#oapopámtarimo#maní?ana//riroa#óntarimo#karótawa#

#### Text 6. Translation

1. Garotão is making a manioc processor. 2. He's dividing the stream to make a manioc-holder. 3. Tetenoa is dividing the stream to make a manioc holder. 4. They'll throw manioc into it. 5. Then (way off) they'll roast it tomorrow when it's soft. 6. They'll come back when they're finished. 7. When Garotão finishes the manioc holder, he'll come back.

The following are some words taken from text which contain interesting rule application combinations. The form of presentation will be apparent in each case.

#DtV#

#X/3-pierce(sew)-fut-dep#

#I-kótoN-(pó)ta(N)-D#

#ikotontata#

#N-PP-part.#

```
#what-for-indefinite#
      #má?e-A-Nèhe-(r)imo#
      #má?eereherimo#
      # N- PP#
      #3-hair-with#
      #I-'aM-A-Nèhe# 4,10,12,20
      #i?awarehe#
(Note that i?awa'his hair'; i?am 'he has much hair' from \#I^{2}aM-A# and \#I^{2}aM# respectively.)
      #night-during#
      #ipfton-(r)imo#
      #ipftonimo#
      #13-dance=finish-when#
      #oro-poráhay=paM-(r)íre#
       #oroporahaypawire#
                                    (See Rule 22)
       #far-off#he-went-function marker#
      #qeY# I - ha - I#
       #qéy#ihay#
                      (See Rule 23)
       #I-come-dep#
       #we-'(to)N-D#
       #wétota#
                        11,16,20
       #12-sit=sit=dep#
```

#cere-ápiN=ápiN-D# (reduplication)

#cereapiápika# (See Rule 15)

#X/3-lift-X/3-lift-dep#

#I-NópiN=I-NópiN-D# (reduplication)

#hopihópita# (See Rule 15)

#### **FOOTNOTES**

lAsurini is the name given by Brazilians to an indigenous group which made its first peacful contact with Brazilian society some fifteen years ago. Before the contact theirs was chiefly a hunting and gathering society. They wandered in the area between the Tocantins and Pacajá rivers at the latitude of the town of Tucuruí (formerly Alcobaça). Since their pacification, they have lived on the Indian Protection Service post established eighteen kilometers downriver from Tucuruí. Some have learned Portuguese, and loanwords from that language are beginning to appear in Asurini conversation. The Asurini call themselves áqawa (also used to refer to other indigenous groups) and their language áqawa cé?ena. The language belongs to the Tupi-Guarani stock, which has affinities over a great part of the South American continent.

Field work for this thesis was done in 1962 and 1963 under the auspices of the Summer Institute of Linguistics in cooperation with the National Museum of Rio de Janeiro, Brazil.

<sup>&</sup>lt;sup>2</sup>Some especially useful devices developed in transformational-generative grammars are the use of brackets and braces to collapse a number of related rules into one, as well as the idea that the rules of the phonological component apply to the output of the syntactic component. See the following bibliography items: Bach (1964), Chomsky (1957, 1964, 1965), Chomsky and Halle (1965), Fodor and Katz (1964), Halle (1962, 1964), Hockett (1942, 1954), Koutsoudas (1966), Lounsbury (1953).

<sup>&</sup>lt;sup>3</sup>In a more complete grammar for Asurini, these would be the terminal strings of the syntactic component of a generative

grammar. The advantages of a generative grammar are clear. The rules seem reasonably easy to write and manipulate, and the concept of the derivation gives a mechanical procedure for checking the correctness of a set of interrelated rules. That is, by taking the input as given (#S# if it is a sentence grammar; strings of lexical forms if it is a morphophonology), and if conventions are specified concerning the order and manner of application of rules, one can apply a set of rules mechanically to see if they give the desired output and adjust accordingly. Where possible, of course, one hopes to mirror some of the intuitions about the structure by choosing the best set of rules according to a mixture of declared as well as innate criteria.

<sup>4</sup>A formative, taken as one of the primitives in this paper, corresponds to a lexeme in the terminology of Sydney Lamb (1964). It might be thought of as a dictionary entry. Although it is not semantically invariant, it is hoped that the number of semantic readings of a lexeme will be limited and that the variants can be predicted in most cases by context.

<sup>5</sup>The practice of specifying phonologies in terms of acoustic distinctive features without using a spectrograph is somewhat questionable, and must eventually fall prey to any weaknesses of articulatory phonetics. Acoustic specification of Asurini phonology would have involved certain specific kinds of recordings which I did not make at the time of the field work for lack of a precise knowledge of the nature and demands of such a machine.

<sup>6</sup>In the text material the wedge is omitted from ř and č for typographical ease. Abbreviations, respectively, stand for sibilant, nasal, resonant, labial, alveolar, alveopalatal, velar, and glottal.

<sup>7</sup>The form -D 'dependent verb marker' is a lexeme or formative which is clearly a single dictionary entry yet has phonologically unrelated realizations in the text. This is something like the case of good-bet-bes in English.

<sup>8</sup>This is an old chestnut. See Pike (1947a). Much of recent work in generative phonology emphasizes the relationships between syntax and phonology.

<sup>9</sup>The case of the auxiliary have in some English dialects has the alternation  $/h \approx f/$  before infinitives (have to go) and  $/h \approx v/$  elsewhere (have taught). In the event that the

alternation conditioning cannot be attributed to accent, this is a candidate for consideration as syntactically conditioned phonological alternation.

<sup>10</sup>Such a rule may also be written  $A \rightarrow B/X$  Y
We will adopt the other form. We will also adopt the convention that when we find that environments for one side of the symbol to be rewritten do not need to be specified, no

ments' is understood for the blank (lack of symbol).

<sup>11</sup>Subsequent analysis has led this investigator to recognize the mutually exclusive distribution of the roots -(to)N and -caN, both meaning 'to come'. -caN occurs when the verb is intransitive and the person is non-third, -(to)N elsewhere. As in the case of -D (see Rule 16 and accompanying footnote), it is likely to be  $\overline{a}$  case of person and number based suppletion as a result of syncretism.

symbol need appear on that side and 'all possible environ-

 $^{12}$ The fact that a choice is necessary is a consequence of the form of the descriptive apparatus. See Lamb (1966).

13Historical linguistics generally recognizes this as suppletion or replacement by a different morph in certain environments in which "...the phoneme discrepancies between suppletive co-allomorphs (e.g., E go; wend) are not significantly repeated in allomorphs making up the other morphemes of the language." (Hoenigswald 1960.48). This comes about as a result of syncretism. "...morphs which originally contrast recur, at a later stage, in phonemically corresponding shape but in complementary distribution and therefore as co-allomorphs..." (Hoenigswald 1965.36).

14 Rule ordering has been discussed in a number of cases. Some pairs of rules are not ordered with respect to each other since they do not concern the same subject matter. Some pairs of rules have an order imposed on them for the purpose of getting correct results in the terminal string. This is done because the members of a given pair may involve the same subject matter and the output of one rule affects the input of the next. The type of grammar chosen here entails ordering in such cases. Often this order is arbitrary. In general, an order is chosen which will rewrite the symbols from left to right in a word, and if this is not at issue then that ordering which gives the most general rule last is chosen because it often saves writing a good bit of detail in the rules.

```
Some pairs of rules seem to be intrinsically ordered,
that is, to change the obvious order would be to vastly
complicate the description.
      In the following scheme we will use 'NOT' for pairs
that are not ordered, IMP for pairs with imposed order and
INT for pairs with intrinsic ordering. Since the number of
pairs to consider among thirty rules is high, we will take
the rules by sets and in some cases talk about the sets as
wholes. Upper case letters represent the sets (A,B,C...).
                 ordered within the group
  1,2,3,4
                 1,2 IMP; 2,3 IMP; 3,4 INT
  5,6,7,8,9,10
                 ordered within the group
                 5,6 IMP; 6,7 IMP; 7,8 INT;
                 8,9 NOT; 9,10 INT
C
   11
                 highly idiosyncratic
   12
D
Ε
  13
F
  14
G
   1.5
  16,17,18,19,20,21 (ordering important for the whole set)
                 16,17 NOT; 17,18 INT; 18,19 NOT; 16,17,18,
                 19,20,21 INT
Ι
   22
J
   2.3
                 24,25 NOT; 25,26 NOT; 26,27 IMP; 27,28 IMP;
K
   24-29
                 28,29 INT; 24-27,29 INT
A, B IMP; B, C IMP; A, C IMP; C, D NOT; D, E NOT; E, F NOT;
C,E NOT; C,F NOT; F,G NOT; G,H INT; H,I IMP;
I,J INT; J,K NOT
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# COCAMA CLAUSE TYPES

#### Norma Faust

- 0. Introduction
- Principal Independent Clause Nuclei
   Subordinate Independent Clause Nuclei
- 3. Clause Margins
- 4. Internal Structure of Intra-Clause Tagmeme

A key to the abbreviations and symbols used in this paper. (Numbers in parenthesis refer to the section number in which the abbreviation or symbol first appears.)

```
Aff
            Affirmation tagmeme (3)
App
            Appositive tagmeme (3)
As
           Aspect tagmeme (1.1)
Asso
            Association tagmeme (3)
C
            Cause tagmeme (3)
Con
            Concern tagmeme (3)
Dir
            Direction tagmeme (3)
Ι
            Identification tagmeme (1.3)
IM
            Intention-movement tagmeme (3)
Ins
            Instrumental tagmeme (3)
L
            Location tagmeme (3)
M
            Manner tagmeme (3)
Мо
            Mode tagmeme (1.1)
N
            Non-imperative clause nucleus (3)
NA
            Nominative absolute tagmeme (3)
Nc
            Nucleus core (1.1)
            Negation tagmeme (3)
Neg
            Object tagmeme (1.1)
0
P_1
            Predicate tagmeme (1.1)
Predicate tagmeme (1.2)
Principal clause (0)
P2
PC
            Principal active clause type (0)
PCA
            Principal active clause nucleus (3)
Principal indentification clause type (0)
PCAN
PCI
            Principal identification clause nucleus (3)
PCIN
            Principal stative clause type (0)
Principal stative clause nucleus (3)
PCS
PCSN
Per
            Perfective tagmeme (3)
Pur
            Purpose tagmeme (3)
Q
            Query tagmeme (3)
Qua
            Quantity tagmeme (3)
            Recipient tagmeme (1.1)
```

```
Subject tagmeme (1.1)
           Subordinate clause (0)
SC
           Subordinate stative clause nucleus (3)
SCSN
Sub
           Subordinating tagmeme (2)
          Subordinating allotagma, (2)
Sub<sub>1</sub>
Sub_{2}^{-}
          Subordinating allotagma_{2}^{1} (2)
Sim
           Similarity tagmeme (3)
Тe
           Tense tagmeme (1.1)
           Vocative tagmeme (3)
V
           Indicates phonological fusion between Cocama
           words (1.1)
           Alternates freely with (1.1)
00
           Something precedes/something follows (narrative
          media1) (1.1)
           Fusion of two levels (0)
```

0. The analysis of Cocama syntax structure that is presented in this paper follows the theory of tagmemic analysis developed by Kenneth L. Pike in his Language in Relation to a Unified Theory of the Structure of Human Behavior.

The theory presented in Pike's book involves the principle of the hierarchical structuring of all human behavior, including verbal behavior. This hierarchical structuring may be seen in the grammatical structure of the Cocama language. The minimum unit of this structure is the tagmeme, which is defined as a functional slot plus the filler of the slot. Both concepts, the slot type and its class of fillers, are necessary to the definition of a tagmeme in this theory. An example to show the slot-class correlation would be a subject slot filled by a substantive expression. The subject slot along with its filler, the substantive expression, manifests a subject tagmeme (see 4.2).

Tagmemes are here described in a "hierarchy of distributional matrices". There are four major levels of distributional matrices in the syntax structure of Cocama. The lowest major level consists of stem and affix tagmemes included in the word matrix; words in turn constitute tagmemes included in the phrase matrix; phrases in turn constitute tagmemes included in the clause matrix; clauses in turn constitute tagmemes included in the sentence matrix. When the focus is on the word matrix the tagmemes included on this level are termed intra-word tagmemes. When the focus changes to the phrase matrix the tagmemes included on this level are termed intra-phrase tagmemes. Included tagmemes

in the clause matrix are termed intra-clause tagmemes, and included tagmemes in the sentence matrix are termed intrasentence tagmemes.

The grammatical hierarchy of Cocama language structure may be seen in that intra-word tagmemes pyramid to intra-phrase tagmemes, which in turn pyramid to intra-clause tagmemes, which in turn pyramid to intra-sentence tagmemes.

This paper focuses on the clause matrix and in particular on the nuclear contrasts of the clause matrix. These nuclear contrasts differentiate the six major non-imperative clause types of the independent sentences of Cocama.

The remaining three major matrices--the word, the phrase, and the sentence--are discussed only briefly to show the relationship of the nucleus of the clause and of the clause matrix to the other matrices and to the tagmemes included in these matrices.

There are two main sentence types in Cocama: independent and dependent sentences. Dependent sentences are dependent on other sentences in narrative. Independent sentences are not. Only the latter are treated in this paper, since sequence-dependent sentences contain clauses of types described for independent sentences (plus a sequence indicator), whereas response-dependent sentences may contain no clause.

Independent sentences contain one obligatory principal predicating nucleus and may also contain one optional subordinate predicating nucleus. The independent sentence tagmeme is manifested by one of two variants. The variants are differentiated by the order of arrangement of their intrasentence tagmemes and occur in free variation. These variants are symbolized: [ +PC'  $\pm$ SC]  $\infty$  [  $\pm$ SC +PC'], to be read, "obligatory principal clause tagmeme (PC) plus optional subordinate clause tagmeme (SC)". The symbol ' (in the formula PC') indicates that there is a fusion of levels in that PC'may occur either as the head tagmeme in an independent sentence matrix or may constitute the entire matrix. The principal clause may therefore constitute simultaneously an independent sentence matrix as well as a clause matrix. Henceforth in this paper fusion of two such levels will be indicated by the symbol '.

There are four main principal independent clause

types: (1) active (PCA), (2) stative (PCS), (3) identification (PCI), and (4) imperative which is subdivided into active imperative and stative imperative.

Active, stative, and identification clause types occur both in principal and subordinate varieties and are differentiated from each other by distinctions within the internal structure of their nuclear intra-clause tagmemes.

The imperative clause types are differentiated from the non-imperative by distinctions within the internal structure of their nuclear tagmemes--which we will not discuss here--as well as corresponding differences within the marginal intra-clause tagmemes. The imperative clause occurs as a sub-division of the class of principal clauses and not of the class of subordinate clauses. The imperative clause will not be treated further in this paper, which focuses on the nuclear contrasts of non-imperative clauses. Examples, however, of the imperative clause are: cáni úri 'come' (cáni 'imperative particle', úri 'stative verb, to come'), úmi kái ái 'look at it!' (úmi 'active verb, to see', kái 'imperative particle', ái 'third person singular substantive').

- 1. Principal Independent Clause Nuclei. The Cocama clause consists of an obligatory nucleus and an optional margin. The nucleus may be viewed as a matrix within the clause matrix and consists of an obligatory core plus optional modifying tagmemes. The nucleus of the principal non-imperative clause types will be discussed in Sections 1.1, 1.2, and 1.3; the nuclei of the subordinate clause types will be discussed in Section 2; and the margin, which is identical for both the principal and subordinate clause types, will be discussed in Section 3.
- 1.1. The core of the principal active clause nucleus consists of three obligatory intra-clause tagmemes: a subject tagmeme (S) manifested by a substantive expression, a predicate tagmeme ( $P_1$ ) manifested by an active verb expression, and an object tagmene (0) manifested by a substantive expression. The subject tagmeme precedes the predicate tagmeme. The object tagmeme may either precede or follow the subject-predicate sequence, and may be formularized as follows: [+S + $P_1$  +0]  $\infty$  [+O +S + $P_1$ ]. The above formula represents minimum non-conditioned variants of the principal active clause nucleus. Other rare variants occur with the object tagmeme as optional; the minus options are

conditioned in that they occur only in narrative medial position. They do not occur narrative initially. They are formularized as follows: [...+S +P<sub>1</sub>  $\pm$ 0...]  $\infty$  [... $\pm$ 0 +S +P<sub>1</sub>...].

The above are the minimal formulas for the principal active clause nucleus, as well as the minimal formulas for the principal active clause as a whole. The marginal tagmemes are optional and are included in the maximum formula for the clause (see 3).

The following examples illustrate minimum forms of the principal active clause nucleus:

ipirawüra-púra čikuaráta púnu 'The dolphins follow us.' dolphins follow us

yanamáta ca- kúpü 'I cultivate the grass.' grass I cultivate

umánu ayúka fever hits 'The fever hit (her).'

The theoretical maximum formula for the principal active clause nucleus is: +Nc'  $\pm As$   $\pm Te$   $\pm Mo$   $\pm R$ , to be read, "obligatory nucleus core (Nc) plus optional aspect tagmeme (As) plus optional tense tagmeme (Te) plus optional mode tagmeme (Mo) plus optional recipient tagmeme (R)". No occurrence of maximum structure has been found in the present data. The following examples, however, illustrate all the tagmemes symbolized in the maximum formula:

+0 +S +P
$$_1$$
 ±Mo

ta-kú t- iára yaúki- mía 'I would like my garden I want make potential to make my garden.'

+S +P $_1$  +O ±Te ±R

ái yúmi y- úi inú- cu 'He gave it to he gives it immediate them to them.'

1.2. The core of the stative clause nucleus consists of two obligatory intra-clause tagmemes: a subject tagmeme (S) manifested by a substantive expression and a predicate tagmeme ( $P_2$ ) manifested by a stative verb<sub>1</sub>, stative verb<sub>2</sub>, or stative verb<sub>3</sub> expression (see 4.1).

The subject tagmeme may either precede or follow the predicate tagmeme, and may be formularized as follows:  $[+S +P_2] \infty [+P_2 +S]$ . The above formula represents minimum non-conditioned variants of the principal stative clause nucleus, as well as the minimal formula for the principal stative clause as a whole.

The following examples illustrate minimum forms of the principal stative clause nucleus:

```
+S + P_2
t- úcu
                                    'I go.'
I go (stative verb<sub>2</sub>)
+P<sub>2</sub>
                   +S
                                   'I am angry.'
yumira
                 t- ía
                  my heart
is angry
(stative verb<sub>1</sub>)
                   +S
+P2
tümündü
                  kuríki
                            'There is no money.'
there is no
                 money
(stative verb<sub>z</sub>)
```

The theoretical maximum formula for the principal stative clause nucleus is [+P $_2$  +S  $\pm$ As  $\pm$ Te  $\pm$ Mo  $\pm$ R]  $\infty$  [+S +P $_2$   $\pm$ As  $\pm$ Te  $\pm$ Mo  $\pm$ R]  $\infty$  [+S +P $_2$   $\pm$ R  $\pm$ As  $\pm$ Te  $\pm$ Mo].

The following examples illustrate the expanded nucleus:

-----Nucleus----r-----Nc'-----+S +P<sub>2</sub>  $\pm Te$   $\pm R$ miára cacacíma- cúri ahán ikracún-cu 'The monkey monkey screams far this child to screamed at this past child.' tense +P, +S ±R cáku kuaráči kupüwaranú- cu !The sun is hot is hot sun cultivators to for the cultivators.' +S +P<sub>2</sub> ±R +Te uri yumira- cupü rana-curi he is angry to them far 'He scolded them.' past tense +S +P2 ±Μο mía potential mode ta- cüt-úcu-'I would like to I want go go.' +S +P2 ±As ínu irirúkua 'They always tell habitual aspect lies.' they lie

1.3. The core of the principal identification clause nucleus consists of two obligatory intra-clause tagmemes: a subject tagmeme (S) manifested by a substantive expression and an identification tagmeme (I) manifested by a substantive expression, locative expression, associative expression, or directional expression.

The subject tagmeme may either precede or follow the identification tagmeme: [+S+I] oo [+I+S].

This formula represents the minimum non-conditioned variants of the principal identification clause nucleus as well as the minimal formula for the principal identification

clause as a whole.

The following examples illustrate minimum forms of the principal identification clause:

+I +S

wüpü-nán ca-mümirakiramími 'I have only one little one only my little son son.'

+S +I

úcu inu- tía 'I am their aunt.'
I their aunt

The theoretical maximum formula for the principal identification clause nucleus is [+S  $\pm$ As  $\pm$ Te  $\pm$ Mo +I] or [+I +S  $\pm$ Te]  $\infty$  [+I +S  $\pm$ R] 6.

The following examples illustrate the expanded nucleus:

+I +S <u>+</u>Te

yaupu ya-pur- ui 'He was there.'
there he immediate
past

tense

+S +Mo +I

ya- putiakuarar-úra ya-túa tíni 'Its chest is its chest subjunctive it white white.'

+S +Te +I

učimatan- cúri yúwa 'That which was taken out that-which- far thorn was a thorn.' was-taken- past

out tense

+S +As +I

na- kumic- úcu ya-kuára 'Your speech will be inyour speech aspect it in side of it (tape reof corder).' 2. Subordinate Independent Clause Nuclei. Subordinate clauses are differentiated from principal clauses by virtue of their optional occurrence in independent sentences and the occurrence of a subordinating tagmeme within the nucleus of the clause. In the present data tense and aspect tagmemes are of rare occurrence in the subordinate clause. Mode and recipient tagmemes have not been found, although it is highly probably that they may potentially occur as optional tagmemes of the subordinate clause in the Cocama language structure.

The tense tagmeme occurs following the subordinating tagmeme in the subordinate stative clause. It has not as yet been found in the subordinate active or subordinate identification clause. The aspect tagmeme occurs following the predicate tagmeme and preceding the subordinating tagmeme in the subordinate stative clause; it occurs following the subordinating tagmeme and the object in the active clause; it has not as yet been found in the subordinate identification clause.

The following formula shows the structure of the subordinate stative clause as found in the data to date:  $[+P_2 +S +Sub \pm Te] \infty [+S +P_2 \pm As +Sub]$ .

The following examples illustrate the subordinate stative clause:

The following formula shows the structure of the subordinate identification clause as illustrated by the one example that has been found in the present data:

+S +I +Sub unu uram- ikua 'Because you are good.' you good because 'Because you are good.'

In the subordinate active clause the subordinating tagmeme follows the predicate tagmeme. Following the predicate tagmeme the subordinating allotagma occurs preceding the object, and the subordinating allotagma occurs following the object. The subordinate active clause is formularized as follows: [+0 +S +P\_1 +Sub\_2]  $\infty$  [+S +P\_1 +0 +Sub\_2]  $\infty$  [+S +P\_1 +Sub\_1 +0].

The following examples illustrate the subordinate active clause:

+0 +S +P<sub>1</sub> +Sub<sub>2</sub>

ašún umanuarinán ucún c- ürucu wíri 'Although I one-about-ready-to-die I took although took one who was about ready to die.'

+S +P<sub>1</sub> +0 +Sub<sub>2</sub>

ca-wihu-púra ukira ca- wiri 'Although my old man my old-man kept back me although kept me back.'

 $+S + P_1 + Sub_1 + O$ 

t- amastáka ráši úra 'If I can do it.' I can do if it

3. Clause Margins. Various marginal intra-clause tagmemes of modification may be attached to the nucleus of each of the six clause types described in detail in this paper (principal active, principal stative, principal identification, subordinate active, subordinate stative, and subordinate identification). Certain of these marginal tagmemes occur preceding or following the nucleus. The marginal intra-clause tagmemes that occur only preceding the nucleus of the clause include affirmation, negation, perfective, and query. Those that occur either preceding or following the nucleus include vocative, nominative absolute, intention movement, location, direction, association,

instrumental, cause, concern, purpose, quantity, time, manner, similarity, and appositive. The affirmation is always first; otherwise there is no fixed order. (There is some possibility, however, that degree of emphasis may affect the order of two intra-clause marginal tagmemes preceding the nucleus, or of two following the nucleus; the one closer to the nucleus may prove to be the one emphasized.)

In the data studied to date there are no further structural restrictions on the co-occurrence of the marginal tagmemes in the various principal and subordinate clause types.

There are, of course, some semantic restrictions such that the intention-movement marginal tagmeme occurs chiefly with the stative verb<sub>2</sub> úcu 'to go' as manifesting the predicate<sub>2</sub> tagmeme and with other words that express movement. Examples of sentences containing this tagmeme are:

+S +P<sub>2</sub> <u>+</u>Intention Movement <u>+</u>Location <u>+</u>Appositive

y- úcu kamatatára ahánga orejanáka he goes work to here Orellana in

'He goes to work here in Orellana.'

 $\pm {
m Intention\ Movement}$  +S +P $_1$  +O  $\pm {
m Te}$   $\pm {
m Mo}$  mucanakatára c- ürúra y- ui- mía to-treat- I bring her immedi- potenwith-medicine ate tial past mode tense

## 'I brought her to be treated.'

The non-imperative clause formula is given below. Tagmemes listed in the formula as following the nucleus are from the group that may either precede or follow it (a fact which is indicated by the arrow); those before the nucleus are from the group which cannot follow the nucleus; free variation of order of these marginal tagmemes in reference to one another is as stated above, but not symbolized: ±affirmation (Aff) ±negation (Neg) ±query [+slot<sub>1</sub> +query] (Q) ±perfective (Per) +Nucleus (N) ± similarity (Sim) ± manner (M) ± quantity (Qua) ± purpose (Pur) ± concern (Con) ± cause (C) ± instrumental (Ins)

 $\pm \leftarrow$  association (Asso)  $\pm \leftarrow$  direction (Dir)  $\pm \leftarrow$  location (L)  $\pm \leftarrow$  intention movement (IM)  $\pm \leftarrow$  nominative absolute (NA)  $\pm \leftarrow$  appositive (App)  $\pm \leftarrow$  vocative (V).

Of these, it should be further noted that the query tagmeme is manifested by a clitic word stem which is phonologically dependent on the manifested form of the preceding tagmeme other than the affirmation. When no marginal tagmemes precede the nucleus, the query tagmeme occurs within the nucleus following the first nuclear tagmeme, and its manifested form is phonologically dependent upon the manifested form of the first nuclear tagmeme. When the affirmation tagmeme occurs in the clause (which, if present, always occurs as the first tagmeme) the query clitic can only follow the manifested form of the second occurring tagmeme, whatever it may be, and is phonologically dependent upon it.

The formula listed above is the theoretically maximum formula for the non-imperative clauses of the independent sentences. No more than three marginal tagmemes, however, have been found in any one clause. The following examples illustrate all of the tagmemes symbolized in the above formula:

```
---PCSN----
<u>+</u>L
      <u>+</u>Q
             +S
maka- típa
                  úcu
                                          'Where are you
             n -
where query you
                                          going?'
+0
      <u>+Q</u>
             +S
                  +P<sub>1</sub> <u>+</u>Te
                                  ±Τ
                  iauki-úi
                                  ikún
                                          'What did you do
mari- típa
             n-
                        immediate today
thing query
             you
                  do
                                         today?'
                        past
                        tense
r-----PCIN'------
+S
      <u>+</u>Q
             + I
mari- típa
                                          'What is here?'
             ahánga
thing query here
```

r-----SCSN----7

 $\pm M$  +S +P<sub>2</sub> +Sub  $\pm Asso$   $\pm App$ 

wúpü yatiri rana-caipura- cún ta-múki kuyara-múki one together they are for me with garden with drunk owner

'In order for them all to get drunk with me, the owner of the garden.'

\_-----PCIN------

áha aicüwanán cačin- dip- ái ya- papa- cúpü yes little loved query he his father recipient indicator

'Yes, is he just a little loved by his father?' (rhetorical question)

r--PCSN---7

 $\pm M$   $\pm App$   $\pm S$   $\pm P_2$   $\pm NA$ 

čún elvíra y- umánu aikuán yía cačín almost Elvira she die sick heart hurt

'Elvira almost died from a sick heart.'

[----PCSN-----]

 $\pm Per \pm Neg +S +P_2$ 

ái tima-purái yakikuára cáči '(Her) head does not now not head hurts hurt anymore.'

Г----PCSN-----1

 $+S +P_2 \pm Te \pm IM \pm Asso$ 

t- uri- cúri kamatatára t- ima- múki I come far work to my brother with past tense

'I came to work with my brother.'

\_----PCAN-----

<u>+</u>Asso

+Dir

piáta awaríndi ini-cúi alkohor-múki yaalcohol with he asks aguardiente us from

'He asks us for aguardiente and alcohol.'

r - - PCSN--1

 $\pm Neg + S + P_2$ 

<u>+</u>M +V

tíma t- amáska kumíca ašún señoríta 'I cannot talk any not I can talk more Señorita more, Senorita.'

\_---PCAN---1

+Sim

 $\pm Neg + S + P_1$ 

±Ins

tima ya- cawatata pütima- pu medikúnu yá other doctors like not he bring tobacco by back means souls of

'He does not bring back souls by means of tobacco like other medicine men.'

----PCSN-----

±Pur

+Asso

+S +P<sub>2</sub> <u>+</u>Te

mucanakamí-ra ca-müna- múki c-medical for my husband with I úri- úi come immediate treatment past tense

'I came with my husband for medical treatment.'

c------PCAN-----

±C

+S +P<sub>1</sub>

+0 ±Te

ra- demandaška ta- cúri ra-mima-1kua his pet because he charge me far past tense

<sup>&#</sup>x27;Because of his pet he charged me.'

\_---PCAN-----+S  $+P_1$  +O  $\pm As$ <u>+</u>Con rafael-ári t- ikuáta n- úcu 'I will tell you Rafael about I advise you will about Rafael.' r------PCAN-----+P<sub>1</sub> +O <u>+</u>T +S ±0ua <u>+</u>Te ikún amuakána ürúra ipirapur- úi číta today others bring fish immediate many past tense

'Today the others brought many fish.'

- 4. Internal Structure of Intra-Clause Tagmemes. In this section some data on the internal structuring of the intra-clause tagmemes are to be presented. Each of the intra-clause tagmemes constitutes a phrase matrix. The breakdown of the phrase matrix will not be given in detail except where it is pertinent to the clause structure. The first part of this section (4.1-8) deals with the nuclear tagmemes; the remainder (Sections 4.9-27) deals with the marginal tagmemes.
- 4.1. The predicate tagmemes are manifested by verb expressions. They are distinct from other tagmemes in that the words manifesting the head tagmemes of the predicate phrase are made up of verb stems accompanied by verbal suffixes. There are two distinct predicate tagmemes, predicate and predicate as mentioned in 1.1 and 1.2.

The predicate, intra-clause tagmeme is manifested by an active verb expression. Within this expression the active verb word occurs as manifesting an obligatory intraphrase head tagmeme. (Note that intra-clause and intraphrase tagmemes are on different structural levels. When, however, the phrase is comprised of a single word, there is a fusion of levels--see the introduction--so that the one word simultaneously manifests both the intra-phrase head and the total intra-clause predicate.) The stative verb word, stative verb word, and certain particles and clitics occur as manifesting optional intra-phrase tagmemes which modify directly the intra-phrase head--in which case there

is no fusion of levels since the head verb itself does not manifest the total intra-clause predicate tagmeme. The meaning of the predicate tagmeme is action directed toward a goal. The following examples illustrate the predicate phrase:

+Head <u>+</u>Modifying particle

tikíta cápa 'to tie (it) soon' tie soon

±Negative particle +Head

tima čipita 'not to blame not blame (someone)'

±Modifying stative verb<sub>2</sub> +Head

ucupuránaiku'to know (it)immediatelyknowsimmediately'

±Modifying stative verb<sub>1</sub> +Head

úpa kúpü 'to finish cultifinish cultivate vating (it)'

The predicate intra-clause tagmeme is manifested by a stative verb expression. Within this tagmeme the stative verb word occurs as an obligatory intra-phrase head tagmeme and the stative verb, stative verb, and certain particles and clitics occur as optional intra-phrase tagmemes which modify this head.

Stative verbs are sub-divided into three classes: (1) stative verb, which manifests the predicate, and the manner intra-clause tagmemes; (2) stative verb, which manifests the predicate, manner, and aspect intra-clause tagmemes; and (3) stative verb, which manifests the predicate tagmeme. The stative verb, class consists of only two members, ümütü 'there is' and tümündü 'there is no'.

The meaning of the predicate tagmeme is state of action, being, or quality of a subject. For examples of the three classes of stative verb within the stative clause nucleus see Section 1.2.

±Modifying stative verb<sub>2</sub> +Head stative verb<sub>2</sub> ±Modifying
clitic

úri úri kat come again

'to come repeatedly'

±Modifying similarity particle +Head stative verb<sub>1</sub>

yiá kumiclike that talks

'(he) talks like that'

+Modifying negative particle +Head stative verb<sub>1</sub>

 $t \pm ma$  amask- inot to be able able

+Modifying stative verb<sub>1</sub> +Head stative verb<sub>1</sub>

yupúni üratayar- 'begin to begin to put in order organize'

4.2. The subject intra-clause tagmeme is manifested by a substantive expression. The head intra-phrase tagmeme of the subject phrase matrix is manifested by a substantive class of nouns and pronouns which, with some restrictions, occur with noun suffixes.

Within the subject intra-clause tagmeme a substantive occurs as manifesting an obligatory intra-phrase head tagmeme. The head intra-phrase tagmeme may be accompanied by optional modifying intra-phrase tagmemes manifested by substantive words, location expressions, stative verb<sub>1</sub> words, and certain modifying particles and clitics.

The subject tagmeme indicates: (1) the subject of the action directed toward a goal in an active clause, (2) the subject described as being in a state of action, being or quality in a stative clause, and (3) the subject identified in an identification clause.

The following examples illustrate the subject phrase:

<u>+</u>Modifying noun +Head noun <u>+</u>Modifying location expression

ahán medíko puérto prádo-katín this doctor Puerto Prado at

'the doctor from Puerto Prado'

+Modifying pronoun +Modifying noun +Head noun

ahán ikracún čipitára 'this child's this child bewitcher bewitcher'

+Modifying noun +Head noun

wainakána yapuračitáta 'the women's women dance maker dance maker'

+Modifying noun +Head noun

úpi áwa 'everyone' all man

+Modifying stative verb<sub>1</sub> +Head noun

ipfpu yawara 'the dog
inside dog inside'

+Head noun <u>+Modifying clitic</u>

kuyaramburá- ya 'the owner of owner-of-the-garden reportative the garden (it is said)'

4.3. The object intra-clause tagmeme is manifested by a substantive expression (see 4.2), or by the hesitation particle, ápu. The object tagmeme indicates the goal toward which the activity is directed in an active clause.

The following examples illustrate the object phrase:

<u>+</u>Modifying quantity noun +Head noun

mucaprika waránga čupáti 'three thousand three thousand palm leaves palm leaves'

±Modifying negative particle +Head noun

tima mari 'nothing' no thing

+Head noun <u>+</u>Modifying clitic

awarindi- nán aguardiente restrictive 'only aguardiente'

The following example illustrates the hesitation particle as manifesting the object tagmeme in a principal active clause:

$$(+S + P_1)$$
 +0  $(\pm As \pm App)$ 

'(I am going to go to enjoy) it, (the fiesta).'

4.4. The identification intra-clause tagmeme is manifested by a substantive expression (see 4.2), a location expression (see 4.23), or an association expression (see 4.21). This tagmeme indicates the identification of a subject in an identification clause.

The following examples illustrate the identification phrase; items in parenthesis are not part of this phrase:

Locative particle manifesting the identification phrase.

Associative expression manifesting the identification phrase.

$$(\pm T + S) + I$$

(ikuáči ca-műmirakúña umanu-múki '(Yesterday my daugh-(yesterday my daughter) fever with ter was) with fever!

Substantive expression manifesting the identification phrase.

$$(+S)$$

(urucu) ikia wainakana yapuračitata '(Pan pipes) are (pan pipes) this women dance maker the dance makers for these women.'

4.5. The recipient intra-clause tagmeme is manifested by a recipient expression which consists of an obligatory substantive expression plus the obligatory recipient indicator clitic cu  $\varpi$  cúpü.

With the manifested variant +S +P2 of the stative clause nucleus core, two free variants of the recipient phrase occur. They are formularized as follows: [+substantive expression +recipient indicator (cu  $\infty$  cúpü)]<sup>8</sup>  $\infty$  [+recipient indicator (cúpü) +substantive expression].

The recipient tagmeme indicates the recipient of the activity, state, or identification described in the active, stative, or identification clauses.

The following examples illustrate the recipient phrase in the various clause types:

Active clause.

$$(+S+P_1)$$
  $\pm R$ 

(huan eskribíška) ya-papá- cu '(John wrote) to (John writes) his father recipient his father.'

$$(+S+P_1+O)+R$$

(ái yúmi ái) ya- cúpü '(He gives it) to (he give it) him recipient him.'

Stative clause.

$$(\underline{+}Per +S +P_2)$$
  $\underline{+}R$ 

(úcu rana-yumira-) cúpü ikracún '(They scolded)
(now they scold) recipient child the child.'
indicator

$$(+S+P_2)$$
  $\pm R$ 

(úri yum≨ra) ta- cúpü '(He scolded) me.' (he scolds me recipient indicator

$$(+P_2+S)$$
  $\pm R$ 

kuarači) kupuwaranu- cu '(The sun is hot) (caku sun) cultivators recipient for the cultivators. (hot

Identification clause (unique example).

$$(\underline{+}Aff + I \underline{+}Q + S) \underline{+}R$$

(dha aicüwandn cačín-dip- di) ya- papa- cúpü (yes little only loved query he his father recipient

'Yes, is he just a little loved) by his father.'

4.6. The aspect intra-clause tagmeme is manifested by a stative verb<sub>2</sub> word. The membership of the stative verb<sub>2</sub> class of words includes: (1) úcu 'to go'; (2) úri 'to come'; (3) úkua 'to go about, habitual'; (4) yúti 'to be, temporal'; and (5) iára 'to desire'.

The aspect tagmeme indicates aspectual modification of the clause nucleus core. The following examples illustrate the aspect phrase:

$$(+S+P_1+0)$$
  $\pm As$ 

(pünu yumíta y-) úkua (we teach her) habitual

'(We teach her) habitually.'

$$(+S+P_1+0)$$
  $\pm As$ 

(ta- tikita cápa r-) úcu '(I) am going (to (I tie soon it) to go tie it right away).'

$$(\pm L +S +P_2) \pm As (\pm Te)$$

(ca-mümɨrakuñáka c- uwatat-) uri-(úi) (my daughter at I visit to come (immediate past tense)

'(I) came (to visit my daughter).'

$$(+S)$$
  $\pm As$   $(+I)$ 

'(Your speech) will (be inside of it).' (na- kumic-) úcu (ya-kuára) (your speech) to go (it in)

past

tense

terday.'

 $(\pm M + S + P_2)$ 

(tutukári ca-műmɨrakuñapuranándi yuriti kat- á (washing my daughter stays again future tense

'(My daughter) will (remain to wash).'

4.8. The mode intra-clause tagmeme is manifested by a mode clitic. The membership of the modal class of clitics includes:  $^9$  üra  $^\circ$  üránu 'subjunctive mode', and mía 'potential mode'. The following examples illustrate the mode phrase:

'(With deep feeling I brought her.)'

 $(\pm Per \pm Q +S +P_2) \pm Mo$ 

(úcu-pura-táku y- umanu-) mía '(He) might (al-(already perhaps he die) potential ready be dead).'

4.9. Sections 4.1 through 4.8 have dealt with the nuclear intra-clause tagmemes; Section 4.9 through 4.27 deal with the marginal intra-clause tagmemes.

The affirmation intra-clause tagmeme is manifested solely by the affirmation particle and 'yes', with no modifiers permitted:

 $\pm Aff (\pm IM +S +P_1 +0 \pm Te)$ 

áha(mucanakatárac-üruray-úi)'Yes, (Iyes(for treatment Ibring herimmediate brought herpastto betense)treated).'

4.10. The negation intra-clause tagmeme is manifested by a negation expression. The negation expression consists of the obligatory negation particle  $t \neq ma$  plus an optional modifying clitic. The following examples illustrate the

negation phrase:

 $\pm \text{Neg}$  (+S +P<sub>1</sub> +0 +Sub)

tima (na- čipita ca-pur- ikua) '(Because you did) not (you pay me because) not (pay me).'

 $\pm \text{Neg}$  (  $\pm \text{Per} + \text{S} + \text{P}_2$   $\pm \text{L}$ )

tima-pur- (ái ra-cüt- úri ikiáka) '(He does) not not emphatic (now he wants come here) (want to come clitic here any more).'

4.11. The query intra-clause tagmeme is manifested by an unmodified question or pondering clitic. The membership of the query class of clitics includes: (1) tipa~dipa, (2) táku~dáku, (3) nda, (4) ráku, (5) ta, (6) ra, and (7) ku. The variants as symbolized in numbers 1 and 2 are phonologically conditioned; dipa and dáku occur following the phoneme /n/, tipa and táku occur elsewhere. The following examples illustrate the query phrase:

 $(\pm Dir)$   $\pm Q$   $(+S +P_2)$ 

(maka- cui-) típa (n- úri) '(Where do you (where from) query (you come) come from)?'

 $(\pm L) \pm Q (\pm S + P_2)$ 

(maká-) nda (n- úcu) '(Where are you (where) query (you go) going)?'

(+S)  $\pm Q$  (+P<sub>2</sub>)

(ra- cukuará-) ra (cáči) '(Does his body (his body) query (hurt) hurt)?'

 $(\pm T)$   $\pm Q$   $(+S + P_2$   $\pm Te)$ 

(maña-puká-) ta (ini-úri uri- kat- á) '(When will we (when) query (we come come again fu- come again)?'
ture
tense

(+I)  $\pm Q$  (+S)

(mári yawá-) ku (na- ritáma) '(What is your (thing like) query (your city) city like)?'

4.12. The perfective intra-clause tagmeme is manifested by a perfective expression, which consists of an obligatory perfective particle plus an optional modifying clitic. The membership of the perfective particle class includes úcu, ái, and áwü. Ucu and ái have been found with the emphatic clitic. Awü has not been found with a modifying clitic. The following examples illustrate the perfective phrase:

```
(+S+P_2)
<u>+</u>Per
úcu
                                               '(She is) a1-
          (y-
                umánu)
                                               ready (dead).'
already (she
                die)
(\pm Ins)
                   \pm Per (+S +P<sub>2</sub>)
                            (y- űra)
(ya- pú)
                   ái
                                             '(By means of it
                                            he is) now (well).
(it by means of) already (he well)
                  (\pm M + S + P_2 \pm Te)
+Per
ai- púra (űra y- üra cúri)
already emphatic (well he well far
                                               '(He is) now
                                               (very well).'
        clitic
                                 past
                                 tense)
\pm Per ( +S +P<sub>1</sub>
                                ±Mo)
                        +0
        (inu- mucanáka ya-
                                mía)
                                               '(They may) al-
áwü
already (they treat her potential
                                               ready (have
                                                treated her).'
                                mode)
```

4.13. The similarity intra-clause tagmeme is manifested by a similarity expression, which consists of an obligatory substantive expression or stative  $\operatorname{verb}_1$  plus an obligatory similarity particle plus an optional modifying

clitic. The similarity class of particles includes two members: ya and indiru. The following examples illustrate the similarity phrase:

+Stative verb<sub>1</sub> +Similarity particle

iira vá like pood

'very well'

+Substantive expression +Similarity particle

tíma ámua medikúnu not other doctors

νá 1 i ke

'not like other doctors!

+Pronoun +Similarity particle +Modifying clitic

iá 1 i ke rit

nán restrictive 'iust like it'

+Similarity particle +Substantive expression

ind1ru like

áwa itikán man one-thrown-down

'like a man thrown down!

4.14. The manner intra-clause tagmeme is manifested by an obligatory stative verb<sub>1</sub> expression, stative verb<sub>2</sub> expression, manner particle, or stative clause nucleus core, plus an optional modifying clitic:

+Stative verb<sub>1</sub> +Modifying clitic

ürágood na

'just good'

restrictive

+Stative verb<sub>2</sub>

ucári

'going'

+Manner particle

yanikáka

'fortunately'

+Stative clause nucleus core

+S +P2

tá ucári 'my going'

T go 4.15. The time intra-clause tagmeme is manifested by a time expression. The time expression may consist of an optional modifier plus an obligatory time particle; or it may consist of an obligatory stative verb expression, active verb expression, substantive expression, or similarity expression, plus an obligatory time particle or clitic, plus an optional modifying clitic:

+Stative verb<sub>1</sub> +Time clitic

kanat- ái 'when it is light' is light

±Modifying noun +Time particle

wǘpü ipica 'the whole night' one night

+Similarity +Time <u>+</u>Modifying expression clitic clitic

r- iá pukátu- nán 'when it was just like it like when restrictive that'

+Substantive +Time clitic

amua púka 'another time' other when

+Active verb +Time particle

úyu cakap≰ri 'after eating' to eat after

4.16. The quantity intra-clause tagmeme is manifested by a substantive expression:

+Substantive

úpi 'all' číta 'many'

4.17. The purpose intra-clause tagmeme is manifested by a purpose expression, which consists of an obligatory substantive expression plus the obligatory purpose clitic ra  $\infty$  rán:

+Substantive expression +Purpose clitic

t-úka cá rán 'for my roof' my roof for

4.18. The concern intra-clause tagmeme is manifested by a concern expression which consists of an obligatory stative verb<sub>1</sub> expression, optional object plus obligatory active verb, or substantive expression, plus an obligatory concern clitic:

+Stative verb<sub>1</sub> +Concern clitic

kuatiaranyar- ári 'concerning writing' writing about

 $\pm 0$  +P<sub>1</sub> +Concern clitic

úri yumuyari- ári 'concerning helping
him help about him'

+Substantive expression +Concern clitic

úpi mári ári 'concerning everyall thing about thing'

4.19. The cause intra-clause tagmeme is manifested by a cause expression, which consists of an obligatory substantive expression plus the obligatory cause clitic íkua plus an optional modifying clitic:

+Substantive expression +Cause clitic

ra- mim- íkua 'because of his pet'

+Substantive +Cause clitic  $\pm$ Modifying clitic

r- ikua purára 'because of him because emphatic him'

4.20. The instrumental intra-clause tagmeme is manifested by an instrumental expression, which consists of an obligatory substantive expression, hesitation particle, or stative clause nucleus core, plus the obligatory instrumental clitic pu ∞ púpü plus an optional modifying clitic:

+Substantive +Instrumental ±Modifying expression clitic clitic

ya-pua- pupü- nán 'by means of his hand by means of restrictive only his hands '

+Hesitation particle +Instrumental clitic

apú- pu 'by means of it'

+Stative clause nucleus core +Instrumental clitic

+S +P<sub>2</sub>

y- ía cačí pu 'by means of heart pains by means of a pained heart'

4.21. The association intra-clause tagmeme is manifested by an association expression, which consists of an obligatory substantive expression plus an obligatory association clitic:

+Substantive expression +Association clitic

ca- mumira- muki 'with my son' my son with

ya- mirikua ndi 'with all his his family' with all family'

4.22. The direction intra-clause tagmeme is manifested by a direction expression which consists of an obligatory stative verb expression, substantive expression, or location expression, plus an obligatory direction clitic plus an optional modifying clitic:

+Stative verb<sub>1</sub> +Direction clitic

amu- suíka 'from far away' far away from

+Location particle +Direction clitic

máka cúi 'from where' where from

+Substantive +Direction +Modifying expression clitic clitic

iruáka kuarači- cúi nán 'after four days' four days from restrictive

4.23. The location intra-clause tagmeme is manifested by a location expression which consists of an obligatory substantive expression plus an obligatory location clitic plus an optional modifying clitic, or which consists of a location particle:

+Substantive +Location ±Modifying expression clitic clitic

kuín iwír- arí ya 'on this tree, it is this tree on reportative said'

+Modifying particle

yaupü 'there'

+Substantive expression +Location clitic

c- uká- ka 'to my house' my house to, toward

4.24. The intention-movement intra-clause tagmeme is manifested by an intention-movement expression, which consists of an optional substantive plus an obligatory verb expression plus an obligatory intention-movement suffix:

+Stative  $verb_1$  +IM suffix

ukiri- tára 'in order to sleep' sleep in order to

+Active verb +IM suffix

kamata- tára 'in order to (go) work in order to to work'

<u>+</u>Substantive +Active verb <u>+</u>IM suffix

señóra yumita- tára 'in order to teach lady'

<u>+</u>Substantive +Stative verb<sub>2</sub> +IM suffix

4.25. The nominative absolute intra-clause tagmeme is manifested by a nominative absolute expression, which consists of an obligatory active clause nucleus core, stative clause nucleus, or identification clause nucleus core, plus the obligatory nominalizing clitic n:

+Active clause nucleus core +nominalizing clitic

+Stative clause nucleus +nominalizing clitic

+P<sub>2</sub> +S <u>+</u>As

ukuatári íni- ucú- n 'when we walking we go went walking'

+Identification nucleus core +nominalizing clitic

+I +S

ahangatina kwarači n 'when the here sun sun is here'

- 4.26. The appositive intra-clause tagmeme is manifested by a substantive expression, locative expression, similarity expression, intention-movement expression, instrumental expression, and associative expression. Although other manifestations of the appositive tagmeme have not as yet been found, it seems highly probable that any expression which manifests any intra-clause tagmeme may potentially manifest the appositive tagmeme as well. See examples in Sec. 3.
- 4.27. The vocative intra-clause tagmeme is manifested by a sub-class of substantive words determined by their membership in the vocative tagmeme. The vocative tagmeme indicates vocative or direct address. See Sec. 3 for an example.

### FOOTNOTES

<sup>1</sup>Cocama, according to Tessman in the <u>Handbook of South American Indians</u>, 3.60, 687-89 (1948), is a <u>Ianguage spoken by some 10,000</u> people living largely in Peru along the Amazon and some of its tributaries. McQuown in "Indigenous Languages of Latin America" <u>American Anthropologist</u>, 57. 522 (1955), lists Cocama as a <u>member of the Upper Amazon Tupian Family</u>.

For a description of the phonemes of Cocama see Faust and Pike, "The Cocama Sound System", Publicacões Avulsas do Museu Nacional, Série Lingüística Especial, No. 1, Rio de Janeiro, 1959, pp. 10-55. [The present paper was written in 1958-59 in connection with a study program at the University of Michigan and has, unfortunately, remained unpublished until now. Its contribution to our knowledge of this geographically peripheral Tupian language compensates for a possible lack of relevancy to current theoretical questions.]

 $^2$ Janua Linguarum, Series Major XXIV, Mouton & Co., The Hague, 1967.

<sup>3</sup>The concept of tagmemes-in-matrices used in this paper follows R. E. Longacre's modification of Pike's tagmemic theory. This concept is introduced and developed in Grammar Discovery Procedures, Janua Linguarum, Series Minor XXXIII, Mouton & Co., The Hague, 1964.

<sup>4</sup>One exception to this formula that has been found in the present data is the occurrence of the far past tense clitic cúri within the nucleus core following the subject or predicate tagmeme.

 $^5$ One exception to this formula that has been found in the present data is the occurrence of the far past tense clitic cúri within the nucleus core following the subject tagmeme.

<sup>6</sup>Only one example has been found to date of the occurrence of the recipient tagmeme within the identification clause.

<sup>7</sup>The hesitation particle may occur as manifesting a modifying tagmeme in any phrase matrix and indicates hesitation of the speaker. It is not shown specifically in our regular formulas, although it can occasionally occur in slots where the optional presence of particles is indicated.

<sup>8</sup>One informant accepted only the variant cu in this

position; however other informants have given both variants in what appears to be free variation.

 $^{9}$ A few others may have to be added to this class. There is a small number of clitics occurring too infrequently in our corpus to allow us to determine whether they belong in the modal or in the tense class.

# INTERNAL CLASSIFICATION OF THE TUPI-GUARANI LINGUISTIC FAMILY

## Miriam Lemle

- 0. Introduction
- 1. Sources of Data
- 2. Phonemic Systems
- 3. Reconstructed Phonemic System of Proto-Tupi-Guarani
- 4. Vocabulary List
- 5. Classification
- 0. Introduction. It is evident from the most superficial inspection of word lists that there is a remarkable similarity among the nearly forty dialects that constitute the Tupi-Guarani family (geographically the most widely spread of any in South America, with representatives from northern Brazil -- the Emerillon on the borders of the territory of Amapá and French Guiana--to the Guarani of Argentina in the south, and from the east coast, where Tupinamba was spoken at the time of the discovery of Brazil, to Peru in the west, where today the Kokama still live). Yet hypotheses of possible subgroups within the family need to be Until a few years ago this would have been impossible because of the lack of descriptive data for most of the languages. Now such material is available thanks to the phonological analyses and the filling out of the "Formulário dos Vocabulários Padrões para Estudos Comparativos Preliminares nas Línguas Indígenas Brasileiras" by linguists of the Summer Institute of Linguistics who are working under contract with the Museu Nacional. This material, now available for comparative purposes, is more reliable than previous material collected without the methodological orientation of modern linguistics.

The present study presents a tentative reconstruction of the phonological system and a restricted portion of the lexicon of Proto-Tupi-Guarani insofar as this has been made possible by the data contained in the copies of the "Formulário Padrão" (which we consider to be reliably filled out) and by data from other sources accessible to us. Based on the reconstruction, a classification is proposed, and then dialects which were not used in establishing the classification are assigned to specific branches.

1. Sources of Data. Some of the vocabulary lists for Tupi-Guarani languages filed in the Linguistics Depart-

ment of the Museu Nacional are incompletely filled out or are not phonemicized. For making the reconstruction it was necessary to select the more complete ones and those that had been phonemicized. The following were selected:

Asurini, collected by Carl H. Harrison, 1960, Posto Trocará, Tocantins River, Pará.

Guajajara, David and Margaret Bendor-Samuel, 1960, Posto Gonçalves Dias, Pindaré-Mirim, Maranhão.

Parintinin, Helen Pease and LaVera Betts, 1962, Canavial, Ipixuna River, Amazonas.

Kamayurá, Carl H. Harrison, 1964, Posto Leonardo Villas Boas. Mato Grosso.

Urubu, James Y. Kakumasu, 1963, Posto Pedro Dantas, Canindé, Maranhão.

Guarani, Edna Aaron, 1966, Posto Laranjeiras do Sul, Parana.

Kokama, Norma Faust, Ucayali, Marañon, and Amazonas Rivers, Peru.

Other sources used from published material were as follows:

Guarayo. Frei AlfredoHoeller, O.F.M. Mis. Apco., Guarayo-Deutsches Wörterbuch. Guarayos, Dep. S. Cruz de la Sierra, Bolivia. Stuttgart, 1932.

Sirionó. P. Fr. Anselmo Schermair E., <u>Vocabulario</u>
Siriono-Castellano. Insbrucker Beiträge zur Kulturwissenschaft. Innsbruck, 1958. And Perry N. Priest, San Pedro,
Departamento Beni, Bolivia, 1960.

Tupinambá. Vocabulário na Língua Brasílica. Second edition, revised and coordinated with Ms. fg. 3144 of the Biblioteca Nacional de Lisboa, by Carlos Drummond. Bulletin no. 137 and 164 of the Serie Etnografia e Tupi Guarani, Faculdade de Filosofia Ciências e Letras of the Universidade de São Paulo, São Paulo, 1953. The phonological analysis is by Aryon dall'Igna Rodrigues, Phonologie der Tupinambá Sprache, doctoral thesis submitted to the University of Hamburg, 1958. Although this is the only one of the languages that is already extinct, it is perhaps the one whose phonemicization is most certain, and we feel that the three centuries that separate it from the other languages do not

invalidate its inclusion in the comparison. Furthermore, there are special reasons for including it to establish its position in the group.

## 2. Phonemic Systems.<sup>2</sup>

Asurini: ptk?ywmnnhr

i e i a o

Guajajara: ptk?cywmn nh r

i e i e a u o

Parintintin: ptk? čywmn nhrb

i e i a u o ĩ ẽ ĩ ã ũ õ

Kamayurá: ptk? čywmn jhr

i e i a u o ĩ ẽ ĩ ã ũ õ

Urubu: ptk?sšywmnghr

i e i a u o ĩ ẽ ã ũ õ

Kokama: ptkcčsšywmnhr

iüaiu

Guarani: ptk? čywmn nhrb

i e i a u o ĩ ẽ ĩ ã ũ ỡ

Guarayo: ptk?cčywmnghrb

i e i a u o ĩ ẽ ĩ ã ũ õ

Sirionó: ptkcsšymn n r b

i e i a u o ĩ ẽ ĩ ã ũ õ

Tupinambá: ptk?sšywmnnrb

i e i a u o î e î a û o

## 3. Reconstructed Phonemic System of Proto-Tupi-Guarani.

\*p Before back rounded \*V.

p (As, Gj, Pt, Ub, Ko, Gn, Gy, Tb), h (Km), h, # (Si). 61, 82, 183, 186.

Before \*w.

p (Tb), k (As, Ub, Gn, Gy), k, k#, # (Si), h (Km). 9, 70, 119, 157, 173, 191, 206

In other environments.

p (As, Gj, Ft, Km, Ub, Ko, Gn, Gy, Tb), h, # (Si).
1, 6, 8, 11, 13, 14, 15, 17, 21, 24, 36, 39, 42, 49,
53, 65, 66, 79, 88, 90, 96, 98, 102, 108, 110, 112,
121, 139, 140, 144, 152, 155, 160, 162, 164, 165, 168,
172, 175, 177, 181, 184, 185, 194, 196, 198, 202, 203,
205, 206, 212, 213, 219.

\*t Before \*i and \*i.

t (Pt, Ko, Tb), & (As, Km, Gn, Gy), c (Gj), &, & (Si), & (Ub). 8, 30, 51, 56, 64, 73, 95, 128, 138, 145, 162.

In other environments.

t (As, Gj, Pt, Km, Ub, Ko, Gn, Gy, Si, Tb). 10, 11, 29, 50, 53, 55, 80, 83, 87, 90, 91, 95, 96, 97, 100, 132, 134, 136, 137, 139, 161, 167, 184, 199, 205, 207, 213.

\*k In final position.

k (Gj, Km, Ko, Tb), k, # (Ub), n, # (As, Pt), # (Gn, Gy, Si). 12, 13, 23, 41, 45, 47, 52, 55, 63, 67, 80, 97, 120, 180, 194, 208.

Before \*w.

k (As, Gj, Pt, Km, Ko, Gn, Gy, Si, Tb), # (Ub). 32, 56, 73, 170, 195, 199. See also sequence \*kw.

In other environments.

k (As, Gj, Pt, Km, Ko, Gn, Gy, Si, Tb), k, \( \frac{\xi}{5} \) (Ub). 29, 33, 39, 43, 44, 46, 61, 64, 66, 68, 75, 76, 80, 85, 97,

107, 112, 116, 124, 125, 127, 129, 135, 150, 163, 171, 176, 178, 182, 186, 187, 188, 192, 197, 200, 216. Note the development in Ub of the sequence kw in items 76, 124, and 186 under the influence of a preceding u.

- \*? (As, Gj, Pt, Km, Ub, Gn, Tb), ?, # (Gy), # (Ko, Si). 11, 16, 21, 25, 34, 35, 39, 40, 45, 52, 58, 59, 60, 69, 71, 77, 82, 86, 87, 88, 89, 93, 94, 99, 102, 105, 108, 116, 120, 125, 130, 132, 152, 154, 162, 172, 183, 193, 197, 204, 210, 214, 220.
- \*c h, # (As, Gj, Pt), h, y, # (Km), s, h, # (Ub), c, & (Ko, Gy), &, # (Gn), s, & (Si, Tb). 13, 31, 62, 63, 83, 84, 85, 93, 95, 98, 100, 106, 111, 113, 115, 118, 122, 130, 140, 144, 147, 168, 175, 195, 200, 203, 210.
- \*m In final position.

m (As, Gj, Pt, Km, Ub, Ko, Tb),  $\tilde{V}^{\#}$  (Gn, Gy, Si). 62, 82, 96, 113, 129, 132, 163.

Before \*w.

m (Tb), g (Gn, Gy). 2, 46.

In other environments.

m (all languages). 2, 3, 5, 12, 18, 26, 31, 46, 54, 55, 57, 67, 69, 75, 78, 81, 109, 114, 120, 123, 127, 131, 133, 136, 149, 167, 180, 203.

\*n In final position.

n (As, Gj, Km, Ko, Tb), n,  $\tilde{V}^{\#}$  (Pt, Ub), r,  $\tilde{V}^{\#}$  (Gy),  $\tilde{V}^{\#}$  (Gn, Si). 50, 54, 78, 79, 123, 139, 157, 174, 175, 220.

In other environments.

n (all languages). 20, 24, 48, 55, 108, 120, 127, 131, 143, 149, 151, 185, 189, 218.

- \*n n (As, Gj, Pt, Km, Tb), n (Ko), V# (Ub, Gn, Gy, Si). 30, 33, 69, 86, 95, 145, 150, 192, 211, 212, 213.
- \*y In final position.

y (As, Gj, Pt, Km, Gn, Gy, Tb), y, #i (Ub, Si), i in monosyllabic words, #i in polysyllabic (Ko). 18, 28, 31, 44, 48, 57, 58, 72, 75, 111, 119, 133, 146, 168, 176, 179, 182, 193.

In other environments.

y (As, Gj, Pt, Km, Ub, Ko, Gn, Gy), ñ, č (Si). 7, 20, 27, 31, 37, 45, 78, 83, 86, 107, 115, 117, 124, 135, 143, 148, 154, 155, 156, 169, 188, 196, 200, 205. See also \*py, 208.

\*w As second member of consonant cluster.

w (all languages). 2, 9, 32, 46, 56, 70, 73, 119, 150, 157, 170, 173, 191, 195, 199, 206. See also sequence \*kuw, 187.

In other environments.

w (As, Gj, Km, Ub, Gn, Ko, Gy, Tb), qw (Pt), g, k (Si). 43, 68, 148, 158, 179, 190, 211, 220.

\*b In final position.

b (Tb), b, # (Pt), p (Km), w, m (As), # after u, w elsewhere (Gj), # (Ub, Ko, Gn, Gy, Si). 7, 16, 19, 26, 34, 68, 71, 89, 92, 100, 110, 114, 126, 137, 151, 153, 166, 171, 178, 187, 191, 198, 202.

In other environments.

w (As, Gj, Km, Ub, Ko), b (Pt, Gn, Gy, Si, Tb). 15, 23, 28, 44, 47, 67, 90, 100, 103, 128, 132, 145, 146, 159, 180, 201, 207, 209, 210, 216, 217.

\*r In final position.

r (Gj, Ub, Ko, Tb), r, # (Pt, Gy), n, r, t (As), t (Km), # (Gn, Si). (Not only should conditions of the alternation n~r~t be reviewed for Asurini, but also its occurrence in the other languages should be investigated. The tentative nature of the grammatical data which we had did not permit a definitive evaluation of the actual situation.) 9, 11, 15, 32, 35, 38, 49, 53, 73, 74, 76, 90, 103, 109, 132, 141, 148, 150, 165, 169, 173, 188, 199, 203, 215.

In other environments.

r (all languages). 27, 31, 46, 60, 63, 81, 87, 93, 99, 104, 107, 114, 142, 156, 158, 159, 164, 165, 182, 185, 191, 195, 212, 221.

\*a Preceding a final nasal consonant.

a (Pt, Km, Ko, Tb), a, ã# (Ub), ã# (Gn, Gy, Si), i, o (As), e (Gj). 33, 54, 62, 78, 82, 93, 132, 150, 157, 163, 192, 211, 212, 213. Note also that in Guajajara e occurs in some forms as a reflex of \*a preceding a final syllable that contains a nasal vowel or that is closed by a nasal consonant: 54, 95, 129, 131, 182.

Preceding a final y.

a (As, Gj, Pt, Km, Ub, Gn, Gy, Tb), # (Ko), #, o, e (Si). 31, 119, 176, 179.

In other environments.

a (all languages). 8, 9, 10, 11, 15, 16, 19, 20, 21, 29, 31, 32, 33, 34, 35, 36, 38, 39, 43, 46, 47, 51, 52, 55, 56, 65, 68, 71, 73, 74, 77, 83, 87, 91, 93, 94, 95, 99, 100, 101, 102, 105, 107, 110, 112, 115, 120, 122, 124, 125, 126, 128, 134, 137, 140, 144, 145, 147, 148, 149, 152, 154, 155, 156, 158, 159, 161, 162, 164, 166, 169, 170, 177, 178, 181, 183, 185, 186, 187, 191, 194, 195, 197, 199, 202, 203, 208. But note # reflex in some Sirionó items preceding a final -2V syllable. 116, 193.

\*i Before a final nasal consonant.

i (As, Gj, Pt, Km, Ub, Ko, Tb), i# (Gn, Gy), i#, i# (Si). 96, 113, 129

In other environments.

Generally i (As, Gj, Pt, Km, Ub, Ko, Gn, Gy, Tb), i (Si). 4, 8, 12, 13, 15, 16, 20, 21, 53, 63, 67, 80, 87, 98, 102, 110, 114, 115, 118, 126, 132, 133, 136, 137, 139, 140, 144, 146, 159, 160, 171, 177, 184, 188, 189, 190, 194, 200, 201, 203, 204, 207, 209, 213, 221. But note unexplained irregularities in 1, 22, 25, 26, 28, 38, 44, 47, 64, 83, 85, 89, 90, 93, 108, 117, 145, 158, 167, 168, 195, 197.

\*e Before a final nasal consonant.

e (As, Gj, Km, Tb), ẽ# (Ub, Gn, Gy, Si), ĩ# (Pt), ü (Ko). 69, 86, 123, 220.

After \*k or \*y.

e (As, Gj, Km, Ub, Gn, Gy, Si, Tb), i (Pt), i (Ko). 31, 76, 86, 200, 205.

In other environments.

Generally e (As, Gj, Pt, Km, Gn, Gy, Si, Tb), e, i (Ub), ü, i (Ko). 1, 2, 3, 14, 17, 22, 23, 36, 42, 46, 48, 50, 53, 65, 66, 69, 77, 81, 84, 85, 96, 107, 108, 111, 112, 134, 141, 142, 143, 147, 150, 155, 165, 167, 173, 189, 191, 196, 205, 210, 217, 218, 219, 220. But note irregularities in 26, 58, 151, 204, 208, 216.

\*i Before a final nasal consonant.

i (As, Gj, Pt, Km, Ko, Tb), ĩ# (Ub, Gn, Gy, Si). 30, 79, 95, 145.

In other environments.

Generally i (all languages). 11, 39, 55, 56, 60, 73, 81, 84, 88, 100, 116, 120, 126, 128, 149, 152, 161, 162, 164, 165, 172, 175, 210, 212. But note nasalization of final i following? in some Tb forms. 39, 88.

\*o In final syllable and in penultimate syllable preceding a final syllable with \*o.

o (Gj, Pt, Km, Ub, Gn, Gy, Si, Tb), a (As), u, ua (Ko). 17, 40, 41, 44, 45, 49, 57, 75, 81, 92, 106, 109, 120, 121, 155, 180, 181.

In other environments.

o (As, Pt, Km, Gn, Gy, Tb), u (Gj, Ub, Ko), u, o (Si). 12, 31, 67, 78, 88, 90, 93, 111, 142, 162, 168, 203, 205, 209, 210, 216.

\*u Preceding a final nasal consonant.

u (Gj, Pt, Km, Ko, Tb), u, ũ# (Ub), ũ# (Gn, Gy), o (As), õ# (Si). 50, 139, 174, 175.

In other environments.

u (Gj, Pt, Km, Ub, Ko, Gn, Gy, Tb), u, o, i (Si), o (As). 7, 24, 25, 27, 29, 59, 61, 66, 68, 82, 89, 97, 100, 103, 104, 110, 122, 124, 127, 130, 135, 144, 151, 152, 153, 156, 165, 169, 178, 179, 183, 184, 186, 188, 190, 196, 207, 215.

- \*ã Following \*Cw.
  - ã (Gn, Gy, Si, Tb), a (As, Km). 2, 46, 70, 206.
  - In other environments.
  - ã (Pt, Km, Ub, Gn, Gy, Si, Tb), a (Ko), o (As), ə (Gj). 24, 72, 98, 108, 135, 167, 182, 185. But note Sirionó ẽ preceding a final y. 72,182.
- \*ĭ (Pt, Km, Gn, Gy, Tb), i (As, Gj), ĕ, ĭ (Si), # (Ub, Ko). 58, 133, 146, 193. Note that examples are few and all precede a final \*y.
- \*î (Ub, Gn, Gy, Si, Tb), ĩ, i (Pt, Km), i (As, Ko, Gj). 8, 43, 51, 64, 138, 205.
- \*õ (Pt, Gn, Tb), õ, o (Km), õ, ẽ (Si), õ, o, ũ, u (Ub), o (As, Gj, Gy). 5, 18, 48, 75, 87, 99, 131, 221.
- \*ŭ (Gn, Gy, Tb), ŭ, ŏ (Pt), ŏ (Km, Si), u (Gj, Ko), o (As). 37, 60, 102, 105, 112, 136, 172.
- \*kw kw (As, Gj, Pt, Km, Gn, Gy, Si, Tb), w (Ub), ku (Ko). 32, 56, 73, 170, 195, 199.
- \*kuw kuw (Tb), kw (all other languages). 68, 187.
- \*Cu<sup>2</sup>V and \*Ci<sup>2</sup>V are generally reflected as CwV and CyV in Ko. 152, 162, 183. But note exception in 82.
- \*VoV in which the vowels are identical is reflected as a single vowel in Ko. 11, 40, 220.
- 4. <u>Vocabulary List</u>. The reconstructed forms of 221 vocabulary items together with their reflexes in the languages studied are presented below. The gaps that exist are due either to the fact that the item is missing in the original vocabulary list or that the item is not a cognate.
- 1. \*pipe 'to, in' pipe (As, Pt, Gy), pupe (Gj, Km, Tb),
   ie (Si).
- 2. \*memwã 'accident' menwã (Gn, Gy), etomemwã (Tb).

- 3. \*aemee 'sharp' hoyme (As), hayme (Gj, Pt), ayme (Km, Ub, Gn, Gy), aimin (Ko), himey (Si), aemee (Tb).
- 4. \*i 'water' i (As, Ub, Gy, Tb), ?i (Gj, Km), ihi (Pt),
   ii (Gn), i (Si).
- 5. \*amõ 'some' omo (Gj), amuta (Ub), amõwe (Gn), amo (Gy), amõ (Tb).
- 6. \*pē 'align, braid' pē (Pt, Tb), ē (Si).
- 7. \*yub 'yellow' iyuahi (Gj), yup (Km), i'un (Ko), yu (Gn), ču (Si), yub (Tb). Cf. 188.
- 8. \*apitî 'tie' 'apitî (Pt), apitî (Tb).
- 9. \*pwar 'tie' hwat (Km), pukwar (Ub), kwa (Gn, Gy, Si), pwar (Tb).
- 11. \*tapi''ir 'tapir' tapi''ira (As), tapi''ir (Gj, Pt, Ub, Tb), tapi''it (Km), tapira (Ko), tapi''i (Gn).
- 12. \*momik 'tighten' mumik (Gj), momi (Gy), mumi (Si), momik (Tb).
- 13. \*picik 'squeeze' pihi (As), pii (Gn), pici (Gy), isi (Si), pisik (Tb).
- 14. \*pe 'that' eope (As), pero (Pt), pe (Gy).
- 15. \*ibirapar 'bow' iwirapar (As), wirapar (Gj), ibirapar (Pt, Tb), iwirapat (Km), irapar (Ub), wirapa (Gn), rapar (Gy), kiraá, raá ngira (Si).
- 16. \*'ib 'tree' iw a (As), iba (Pt), 'ib (Tb).
  \*'ib 'a 'fruit' ib a (Si). Cf. 94.
- 17. \*pepo 'wing' pepa (As), pepo (Gj, Pt, Km, Gn, Gy, Tb), pipo (ub), püpu (Ko), o (Si).
- 18. \*amoy 'grandfather' amoy (Pt, Tb), tamuy (Ub), amey (Si).
- 19. \*kab 'fat' kaw (As, Gj), ikab (Pt), ikap (Km), iša (Ub), ikawa (Ko), kab (Tb).
- 20. \*yani 'lard' yani (Gn, Gy, Tb).

- 21. \*pi?a 'stomach, liver' pi?a (As, Gj, Pt, Km, Ub, Gn, Gy, Tb), pia (Ko), ia (Si).
- 22. \*ie 'stomach' herie (Gj), ie (Gn, Gy), r-ie (Si),
  i'e (Tb).
- 23. \*ebek 'stomach' ewen (As), reben (Pt), cüwüka (Ko).
- 24. \*nupã 'hit' nopo (As), nupã (Pt, Km, Gy, Tb), inupa (Ko), noã (Si).
- 25. \*i'u 'drink' i'o (As), i'u (Gj, Pt, Km, Gn, Tb), u'u (Ub), yuti (Ko), iu (Gy, Si).
- 26. \*eimab 'animal' heomaw (As), heremau (Gj), h-ima (Ub, Gn), eimba (Gy), imba (Si), eimbab (Tb).
- 27. \*yuru 'mouth' yoro (As), yuru (Gj, Pt, Km, Ub, Ko, Gn, Gy, Tb), čuru (Si).
- 28. \*bebiy 'float' wewoy (As), bebuy (Pt, Tb), wewiy (Km), wewi (Ub), bebiy (Gy).
- 29. \*katu 'good' 'kato (As), katu (Pt, Gj, Km, Ub, Gn, Gi, Tb), katupiri (Gy).
- 30. \*tin 'white' čin (As), cin (Gj, Km), tinahī (Pt), tinin (Ko), čī (Gn, Gy), šī (Si), tin (Tb).
- 31. \*yemocaray 'play' yemoaray (As), yemuaray (Gj), yinwaray (Pt), yemoray (Gn), yemoecaray (Gy), cemesa'ri ~ čumusari (Si), yemosaray (Tb).
- 32. \*kwar 'hole' kwar (Gy, Tb), kwa (Si).
- 33. \*akaŋ 'head' akɨŋ (As), əkəŋ (Gj), akaŋ (Pt, Km, Tb), akã (Ub, Gn, Gy), yakɨ (Ko), ãkĩ (Si).
- 34. \*'ab 'hair' 'aw (As, Gj), 'ab (Pt, Tb), 'ap (Km), ha (Ub), ca (Ko), 'a (Gn), a (Gy, Si).
- 35. \*'ar 'fall' 'an (As), 'ar (Gj, Ub, Tb), 'a (Pt, Gn), 'at (Km), u'ari (Ko), a (Gy, Si).
- 36. \*pe, ape 'path' pe (As, Gj, Ub), pehe (Pt), tape (Km), pü (Ko), -ape (Gn), ape (Tb).
- 37. \*yũ 'field' yũ (Pt, Tb).
- 38. \*iar 'canoe' ihar (As, Pt, Gy), iar (Gj), iat (Km),

- yarusu (Ub), i'ara (Ko), iia (Gn), i'ar (Gy, Tb).
- 39. \*kapi'i 'grass' kapi'i (Gj, Ub, Gn, Gy), kapi'î (Tb).
- 40. \*o'o 'meat' a'aa (As), ho'o (Gj), a'o (Km), o'o (Ub, Gn, Tb), u (Ko), oo (Gy, Si).
- 41. \*ok 'house' an (As), ona (Pt), ok (Km, Ub, Tb), uka (Ko), o (Gn), oi ~ oig (Gy).
- 42. \*pe 'bark' pekwer (Gj, Gy), ñipe (Pt), ipe (Km), e, eke (Si), ape (Tb).
- 43. \*kawî 'corn drink' kanwî (Pt), kawî (Tb).
- 44. \*ibikoy 'dig' iwikay (As), wikiy (Gj), ibikoy (Pt, Tb), čiuki (Ko), ibikoi (Si).
- 45. \*yo'ok 'dig' yo'ok (Km, Tb), yo'o (Gn), yoo (Gy), čoo 'remove' (Si).
- 46. \*karamemwã 'basket' karamenwã (Gn, Gy), karamemwã (Tb).
- 47. \*ibak 'sky' iwan (As), iwak (Gj, Km), iban (Pt), iwa (?) (Ub), iba (Gn), iba (Si), ibak (Tb).
- 48. \*enőy 'call' enőy (Pt, Tb).
- 49. \*por 'full' por (Gj, Tb), po (Gy), o (Si).
- 50. \*etun 'smell' eton (As), etun (Gj, Pt, Km, Tb), etũ (Ub, Gn, Gy), etố (Si).
- 51. \*atî 'horn' ači (As), atî (Pt), ačî (Km, Gy), ašî (Si).
- 52. \*'ak 'horn' 'ak (Gj, Tb), i'ak (Km, Ub), iaka (Ko), akwa (Gn).
- 53. \*piter 'suck' piten (As), piter (Gj, Ub, Tb), pite (Pt, Gn), pitet (Km), siite (Si).
- 54. \*aman 'rain' amin (As), əmən (Gj), aman (Pt, Km, Ub, Tb), amana (Ko), amar (Gy), amã (Si).
- 55. \*tanimuk 'ashes' tanimuk (Gj, Tb), tanimun (Pt), tatimuk (Ub), tanimuka (Ko), tanimu (Gn, Gy).
- 56. \*kwati 'coati' kwači (Gy), kwati (Tb).

- 57. \*moy 'snake' may (As), moy (Gj, Pt, Km, Ub, Gn, Gy, Tb), mui (Ko), mey (Si).
- 58. \*e'īy 'scratch' e'īy (Pt, Tb), ĩ (Si).
- 59. \*?u 'eat' ?o (As), ?u (Gj, Pt, Km, Ub, Gn, Tb), üyu (Ko), u (Gy, Si).
- 60. \*'irũ 'companion, brother' 'irũ (Pt, Tb), irỡ 'accompany' (Si).
- 61. \*puku 'long' poko (As), puku (Gj, Pt, Ub, Gn, Gy, Tb), huku (Km), ipukun (Ko), hoko (Si).
- 62. \*cam 'string' topahom (As), ihəm (Gj), tupaham (Pt, Km), tipaham (Ub), ičã (Gn), cã (Gy), sã (Si), sam (Tb).
- 63. \*cirik 'flow' irik (Gj), čiri (Gn), ciri (Gy), siri 'move' (Si), sirik (Tb).
- 64. \*kitî 'cut' kiči (As), kici (Gj), kiti (Pt), kiči (Km), kičî (Gn, Gy), kišî (Si), kitî (Tb).
- 65. \*ape 'back' ape (As), ?ape (Km).
- 66. \*kupe 'back' kupe (Gj, Gn, Gy, Tb), kupepiter (Pt), \*supe (Ub), yatukupi (Ko).
- 67. \*mobik, mobibik 'sew' miwik (Gj), miwi (Ub), o mobibi (Gn), mobibi (Gy), mobibik (Tb).
- 68. \*akakuwab 'grow' akakuwab (Tb).
- 69. \*me'en 'give' me'en (Km, Tb), me'e (Ub, Gn), mee (Gy, Si).
- 70. \*pwã 'finger' ikwakɨŋa (As), uã-ẽ 'fingernail' (Si), pwã (Tb). Cf. 206.
- 71. \*?ab 'lie' 'am (As), 'aw (Gj, Km), 'au (Pt).
- 72. \*ãy 'tooth' oy (As), iy (Gj), ãy (Pt, Km, Ub, Gy, Tb), ai (Ko), ẽy (Si).
- 73. \*kwatiar 'draw' kwačia (Gy), kwatiar (Tb).
- 74. \*ar 'day' ar (As), ?ar (Gj, Tb), ?at (Km), ara (Gn), ar(i) 'sun' (Gy), arõ (Si).
- 75. \*mokoy 'two' mokoy (As, Gj), mokoy (Pt, Km, Ub, Gn,

- Si, Tb), mu'kui (Ko).
- 76. \*ker 'sleep' ker (As, Gj, Tb), ki (Pt), ket (Km), ukwer (Ub), ukiri (Ko), ke (Gn, Si), k'e (Gy).
- 77. \*a'e 'he' a'e (As, Gj, Km, Ub, Tb), ai (Ko), ha'e (Gn), ae (Gy, Si).
- 78. \*moayan 'push' muyən (Gj), omoayã (Pt), yumuyani (Ko), moayã (Gn), moayãi (Gy), mañã (Si), moayan (Tb).
- 79. \*pin 'rub' pin (As, Km, Tb), pĩ (Gn, Gy), ĩ (Si).
- 80. \*kitik 'rub' kitin (As), kitik (Gj, Ub, Tb), kiti (Pt, Gn, Gy), giti ~ kiti (Si).
- 81. \*emireko 'wife' (h)emireko (Gj, Km), (h)emirekohê (Pt), mirikua (Ko), emireko (Gn, Gy, Tb).
- 82. \*pu'am 'stand' po'om (As), pu'əm (Gj), pu'am (Ub, Tb), ipamawa (Ko), puã (Gn, Gy), uã (Si).
- 83. \*yacitata 'star' yahita'ta (As, Gj), yaitata'i (Pt), yaitata'i (Km), yahirata (Ub), yačitata (Gn), časi-tata (Si), yasi-tata (Tb).
- 84. \*(i)ce 'I' iyee (As), ihe (Gj), iye (Km), ihe (Ub), ücü (Ko), čee (Gn), če (Gy), se (Si), (i)še (Tb).
- 85. \*kice 'knife' kihe (As), takihe (Gj), kie (Km), kise (Ub, Tb), kičikira (Ko), kiče (Gn), kice (Gy), kise (Si).
- 86. \*ye'en 'speak' ye'en (As, Gj, Km, Tb), yi'î (?) (Pt), ye'ê (Ub), yeê (Gy), neê ~ čeê (Si).
- 87. \*'itaro 'full' 'itaro (Pt, Tb).
- 88. \*po?i 'thin' ipu?iahia?i (Gj), po?i (Km, Gn, Gy), pu?i (Ub), oi (Si), po?ĩ (Tb).
- 89. \*u'ib 'arrow' o'iw (As), u'iw (Gj), i'ip (Km), 'ua (Ko), u'i (Gn), uhu (Gy), uu (Si), u'ub (Tb)'
- 90. \*potir, ibotir 'flower' iwotira (As), putir (Gj, Ub), ibatiri (Pt), ipotit (Km), potir (Gy, Tb), uti (Si).
- 91. \*tata 'fire' tata (As, Pt, Km, Ub, Ko, Gn, Gy, Si, Tb), hata (Gj).
- 92. \*ob 'leaf' op (Km), o (Gn, Gy, Si), ob (Tb).

- 93. \*ro?i, ro?ica 'cold' roihi, ro?ioho (As), ruic (Gj), irotĩ (Pt), iro?ica (Km), risã (Ub), iro?ičã (Gn), roi, roica (Gy), rui (Si), ro?i, ro?isa (Tb).
- 94. \*?a 'fruit' ?a (Gj, Km, Gn, Tb), a (Gy, Si). Cf. 16.
- 95. \*tatatin, catatin 'smoke' tata-čin (As), hetecin (Gj), tatatin (Pt, Tb), tatačin (Km), tataši (Ub), tatatini (Ko), tatači (Gn, Gy, Si).
- 96. \*petim 'tobacco' petim (As, Km, Tb), petipiar (Gj), pitim (Ub), pütima(Ko), petî (Gn).
- 97. \*kutuk 'pierce' koton (As), kutuk (Gj, Km, Ub, Tb), kutu (Pt, Gn, Gy), kitika (Ko).
- 98. \*picape 'claw' piape (Gj), piape (Ub, Gn), picapi (Ko), pisape (Tb).
- 99. \*?arõ 'like' ?arõ (Pt, Tb), arõ (Si).
- 100. \*tubicab 'large' tuwiyap (Km), tiha (Ub), tubiča (Gn, Gy), tubišab (Tb).
- 101. \*aba 'man' awace'i (As), awa (Gj), sawa'e (Ub), 'awa (Ko), aba (Gn, Gy, Si, Tb).
- 102. \*ipa?ũ 'island' ipa?õ (Pt), ipa?ũ (Tb).
- 103. \*bubur 'swell' bubu (Pt), wuwut (Km).
- 104. \*ruru 'swell' iruru (Ko), i-ruru (Gn), ruru (Gy, Si).
- 105. \*pa?ũ 'space' pa?õ (Pt), pa?ũ (Tb).
- 106. \*co 'go' oho (Gj, Pt, Ub), ucu (Ko), o-o (Gn), co (Gy), so (Si, Tb).
- 107. \*yakare 'alligator' ya'kare (As), yakare (Gj, Km, Ub, Gn, Gy, Tb), yãkare (Pt), yakari (Ko), nikare (Si).
- 108. \*enipi?ã 'knee' renipi?ã (Pt), cünipa (Ko), renapi?ã (Gn), enipia (Gy), eniã (Si), enipi?ã (Tb).
- 109. \*momor 'throw' maman (As), momor (Gj, Tb), momo (Pt, Gy, Si), momot (Km), omor (Ub), yumuc (Ko), o-momo (Gn).
- 110. \*ipab, iupab 'lake' ipaw (Gj), iwpap (Km), ipa (Ub), i-upa (Gn), upab (Tb).

- 111. \*yocey, ey, c-ey, yac-ay 'wash' hey (As), yuhey (Gj), piey (Km), yocey (Gy), yosey (Tb).
- 112. \*ape-kũ, kũ 'tongue' ko (As), apeku (Gj), kũ (Pt, Gy), kỡ (Km), kumira (Ko), apekũ (Gn, Tb), ekỡ (Si).
- 113. \*cim 'smooth' him (As, Gj, Ub), ihimāhī (Pt), yim (Km), iciman (Ko), čīī (Gn), cī (Gy), sim (Tb), ĩ, šī 'rough' (Si).
- 114. \*...mirib 'far' momiri (Gn), amomiri (Gy), wimirib (Tb).
- 115. \*yaci 'moon' yahi (As, Gj, Pt, Ub), yai (Km), yaci (Ko, Gy), yači (Gn), časi (Si), yasi(Tb).
- 116. \*ka'i 'monkey' 'ka'i (As), ka'i (Gj, Pt, Km, Ub, Gn, Tb), kai (Ko, Gy), ki (Si).
- 117. \*yi 'ax' yi (As, Km, Ko, Gy, Tb), itayi (Gj),
  yikwa?ri (Pt), yi (?) (Ub).
- 118. \*ci 'mother' hikee (As), hi (Gj), ihe (Pt), i (Km), či (Gn), ci (Gy), si (Si), si (Tb).
- 119. \*pway 'command' kway (Gy), kwa (Si), pway (Tb).
- 120. \*maniok 'manioc' manioan (As), maniok (Gj, Ub, Tb), manion (Pt), manio (Gn), manio (Gy).
- 121. \*po 'hand' pa (As), po (Gj, Pt, Ub, Gn, Gy, Tb), pua (Ko), o (Si).
- 122. \*acu 'left hand' (nepo ne)ahur (Gj), aču (Gn), (po)acu (Gy), asu (Tb).
- 123. \*men 'husband' man (As, Gj, Tb), müna (Ko), mẽ (Gn, Si), mẽr (Gy).
- 124. \*yuka 'kill' yoka (As), yuka (Gj, Pt, Km, Ko, Gn, Gy, Tb), yukwa (Ub), ika / ika (Si).
- 125. \*ka?a 'woods' 'ka?aa (As), ka?a (Gj, Pt, Km, Ub, Gn, Tb), kaa (Gy), k'aa (Si).
- 126. \*aib, aib (?) 'bad' ai (Km), ahi (Ub), ai (Gy), ay (Si), aib (Tb).
- 127. \*kunumî 'boy' kunu<sup>2</sup>um (Km), kurumî (Ub), kunumi (Gy), kunumî (Tb).

- 128. \*abati 'corn' awači (As), awaci (Gj, Km), abati (Pt, Tb), awaši (Ub), aw (Ko), abači (Gn, Gy), ibaši / abači (Si).
- 129. \*akim 'wet' 'akim (As), ə'kim (Gj), akim (Pt, Ub, Tb), 'akim (Km), aki (Gn, Gy).
- 130. \*cu<sup>2</sup>u 'bite' o'o (As), hu<sup>2</sup>u (Pt), u<sup>2</sup>u (Km), su<sup>2</sup>u (Ub), ču<sup>2</sup>u (Gn), cu<sup>2</sup>u (Gy), su<sup>2</sup>u (Tb).
- 131. \*mano 'die' meno (Gj), mano (Pt, Gn, Si, Tb), mano (Km, Ub, Gy), uma'nwari (Ko).
- 132. \*ibitir, ibi?am (+ -usu) 'hill' iwitir (As, Gj), ibi?amuhu (Pt), iwi?am (Ub), iwata (Ko), ibiti (Gn), ibitir (Gy, Tb), ibi (Si), ibi?am 'ravine' (Tb).
- 133. \*m y 'move' m y (Pt, Tb).
- 134. \*eta, c-eta 'many' eta (As, Gj, Ub, Gy, Si, Tb), čita (Ko), heta (Gn).
- 135. \*kuyã 'woman' koyo (As), kuye (Gj), kuyã (Pt, Km, Ub, Gn, Tb), 'kuyã (Gy).
- 136. \*mitũ 'variety of bird' mitũ (Pt, Tb), mitõ (Si).
- 137. \*itab 'swim' itaw (Gj), ita (Pt, Gy), ?itap (Km), ?ita (Gn), ita (Si), itab (Tb).
- 138. \*tî 'nose, beak' či (As), ci (Gj), tî (Pt, Tb), čî (Km, Gn, Gy), ti (Ko), šî (Si).
- 139. \*pitun 'night' ipiton (As), pitun (Gj, Ub, Tb), ipitun (Pt), ?ipitun (Km), ipitunin (Ko), pitũ (Gn, Gy), itỡ (Si).
- 140. \*pica 'night' ipica (Ko), isa (Si), pisare (Tb).
- 141. \*er 'name' er (As, Gj, Pt, Ub, Tb), et (Km), čira (Ko), e / ere (Si).
- 142. \*ore 'we (excl.)' oree (As), ure (Gj, Si), ore (Pt, Km, Gn, Gy, Tb).
- 143. \*yane 'we (incl.)' yanee (As), yane (Gj, Pt, Ub, Gn, Gy, Tb), yene (Km), ini (Ko), ñane, nane (Si).
- 144. \*picacu 'new' piahu (Gj, Pt, Ub), piau (Km, Gn), ipicacun (Ko), piacu (Gy), pisasu (Tb), iasu (Si).

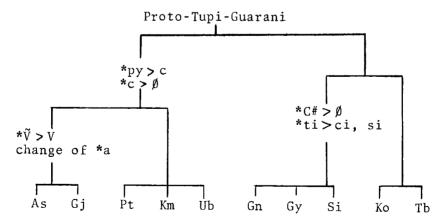
- 145. \*ibatin 'cloud' iwacin (Gj), iwacin (Km), ibičî (Gy), ibeisĩ (Si), ibatin (Tb).
- 146. \*ibiy 'hollow' ibiy (Pt, Tb).
- 147. \*eca 'eye' eha (As, Gj, Ub), eakwar (Pt), ea (Km), čicakuara (Ko), eča (Gn), eca (Gy), esa (Si, Tb).
- 148. \*yawar 'jaguar' yawar (As, Gj, Gy, Tb), yãnwãr (Pt), yawat (Km), yanwate (Ub), yaware (Ko), yawa (Gn), ñaka (Si).
- 149. \*nami 'ear' nami (As, Gj, Pt, Km, Ub, Ko, Gn, Gy, Tb).
- 150. \*kan, kan-wer 'bone' kɨŋ (As), kəŋ (Gj), kan (Pt, Km, Tb), šanwer ~ kanwer (Ub), kanwara (Ko), kã gwe (Gn), kã (Gy), kẽy / kan (Si).
- 151. \*enub 'hear' enom (As), enu (Gj, Pt, Ub, Gn, Gy), cunu (Ko), anu (Si), enub (Tb).
- 152. \*upi'a 'egg' opi'a (As), upi'a (Gj, Pt, Km, Gn, Tb), pi'a (Ub), cupya (Ko), upia (Gy), [u@ia]/ečia (Si).
- 153. \*ub 'father' ow (As), u (Gj, Ub, Gn, Gy, Si), ub (Pt, Tb), up (Km).
- 154. \*ya'ê 'pan' ya'ee (As), ya'e (Km), yaê (Gy), ya''ê (Tb).
- 155. \*yaepepo 'pan' yapepo (Gj, Ub, Gn), yaepepo (Pt), yaepepo (Tb).
- 156. \*ayuru 'parrot' ayoro (As), ayuru (Gj, Km, Gy, Tb), ayuru'i (Pt), čuru (Si).
- 157. \*pwan 'pass' kwã (Gy), kwa (Si), pwan (Tb).
- 158. \*wira 'bird' wira (As, Km, Ub, Ko, Gn, Gy, Tb), wirəmiri (Gj), nwīra'i (Pt), gira (Si).
- 159. \*ibira 'stick' iwira(') (As, Km), iwira (Gj), ibira(') (Gn), ibira (Gy, Tb), ira (Si).
- 160. \*pi 'foot' pi (As, Gj, Pt, Km, Ub, Gn, Gy, Tb),
   pita (Ko), i (Si).
- 161. \*ita 'rock' ita (As, Gj, Km, Ub, Gn, Gy, Si, Tb), itaki (Pt), itaki (Ko).

- 162. \*poti?a 'chest' poti?a (Pt, Tb), poci?a (Km), piši?a (Ub), putyakwara (Ko), poči?a (Gn), počia (Gy), isia (Si).
- 163. \*kam 'breast' kom (As), kəm (Gj), kami (Ub), kã (Gy, Si), kam (Tb).
- 164. \*pira 'fish' ipira (As, Km, Ko), pira (Gj, Pt, Ub, Gn, Gy, Tb), hira ~ sira (Si).
- 165. \*pir, piruer 'skin' pirer (As, Gj, Ub, Gy), ahepir (Pt), piret (Km), piruara (Ko), pire (Gn), i (Si), pir, pirwer (Tb).
- 166. \*ab, c-ab, c-a-wer 'feather' aw (As), hawer (Gj), ab (Pt, Tb), ap (Km), ca (Ko), a (Gy, Si).
- 167. \*etimã 'leg' etimoci?a (As), etimə (Gj), etimakan (Km), timã (Ub), etimã (Gn, Gy, Tb), etimã (Si).
- 168. \*pociy 'heavy' pohoy (As), puhiy (Gj), pohiy (Pt), powiy (Km), puhi (Ub), i'puci (Ko), poiy (Gn), pociy (Gy), usi (Si), posiy (Tb).
- 169. \*ayur 'neck' yor (As), ayu'iw (Gj), yur (Pt), ayut (Km), ayu'u (Gn), ayu (Gy), aču / ečurui (Si), ayur (Tb).
- 170. \*akwa 'person' akwaïme'e (Pt), akwama'e (Km).
- 171. \*kib 'louse' kiw (As, Gj), kib (Pt, Tb), kip (Km), iši ~ ki (Ub), kiwa (Ko), ki (Gn, Gy), ki (Si).
- 172. \*pi'a 'variety of gnat' pi'a (Pt, Tb).
- 173. \*pwer 'past tense' -ke (Si), -pwer (Tb).
- 174. \*un, c-un 'black' cunin (Ko), ũ (Gn), cũ, hũ (Gy), õ (Si), un (Tb).
- 175. \*picun 'black, dark' pihon (As), pihun (Gj, Ub), picun (Km), išõ (Si), pišun (Tb).
- 176. \*kay 'burn' kay (As, Gj, Pt, Km, Gn, Gy, Tb), kway (Ub), uki (Ko), key (Si).
- 177. \*api 'burn' api (As, Gj, Km, Gn, Tb).
- 178. \*akub 'hot' akom (As), aku (Gj, Ub, Gn, Gy, Si), hakub ahi (Pt), akup (Km), caku (Ko), akub (Tb).

- 179. \*uway 'tail' way (As, Km), uay (Gj), wayã (Pt), uway (Ub, Gn, Gy, Tb), cui (Ko), okoy (Si).
- 180. \*mobok, bok 'split' mowok (Km, Ub), mobo (Gn, Gy), mombo, bo (Si), mobok (Tb).
- 181. \*apo 'root' iwapa (As), apo (Gj, Km, Ub, Gn, Gy, Tb), hapo (Pt), capwa (Ko), ao (Si).
- 182. \*karãy 'scrape' kariy (As), kərəy (Gj), karãy (Pt, Gn, Gy, Tb), kari (Ko), karēy (Si).
- 183. \*apu?a 'round' iapo?a (As), iapu?a (Gj), i?ahu?a (Km), yupu?a (Ub), ya'pwan (Ko), iyapu?a (Gn), apua (Gy), hua (Si), apu?a (Tb).
- 184. \*pitu 'breathe' pitoekic (As), pituhem (Gj), ipitu (Pt), yepitu (Km), pituë (Gn, Gy, Tb), itu 'breath'(Si).
- 185. \*paranã 'river' parano (As), parana (Km, Ub, Ko), paranã (Gn, Tb).
- 186. \*puka 'laugh' poka (As), puka (Gj, Gn, Gy, Tb), huka (Km), pukwa (Ub), apukari (Ko), ika (Si).
- 187. \*kuwaab 'know' kwaham (As), kwaw (Gj), kwaha (Pt), kwahap (Km), kwa (Ub), ikwa (Ko), kwaa (Gn, Gy), kwa (Si), kuwab (Tb).
- 188. \*yukir 'salt' yokiri 'green' (As), yukir (Gj, Pt, Ub, Gy, Tb), yokit (Km), yuki (Gn). Cf. 7.
- 189. \*eni 'saliva' eni (As, Gj, Pt, Km, Gy(?), Tb),
   eni (Si).
- 190. \*uwi 'blood' owi (As), iwikwer (Gj), nwihi (Pt), wi (Km), uwi (Ub, Gn, Gy, Tb), cui (Ko), uki (Si).
- 191. \*pwerab 'heal' kwera (Gy), kera (Si)(?), pwerab (Tb).
- 192. \*kan 'dry' kã (Si), kan (Tb).
- 193. \*a'̃ĭy 'seed' a'¸iy (As), i'¸iy (Gj), a'¸ĩy (Pt, Km, Gn, Tb), a'¸ĩ (Ub)(?), cai (Ko), ãĭy (Gy), ẽy (Si).
- 194. \*apik 'sit' apin (As), apik (Gj, Km, Tb), api (Pt)(?), mapik (Ub), yapika (Ko), api (Gn), wapi (Gy).
- 195. \*kwaraci 'sun' kwarahi (As, Gj), warahi (ub), kuarači (Ko), kwarai (Gn), kwarasi (Tb).

- 196. \*peyu 'blow' peyo (As), peyu (Pt, Ub, Gn, Gy, Tb).
- 197. \*ki²a 'dirty' ši²a ~ ki²a (Ub), ki²a (Gn, Tb), kia (Gy), kia (Si).
- 198. \*ipib 'dirty' ipiw (Pt), ipibuhu (Km).
- 199. \*takwar 'bamboo' takwar (Gy, Tb), takwa (Si).
- 200. \*cikiye 'fear' kiiye (As), kiye (Gj, Km, Ub, Gn), kihiyi (Pt), cikiye (Gy), sikiče (Si), sikiye (Tb).
- 201. \*ibi 'land' iwi (As, Gj, Km, Ub), ibi (Pt, Gn, Gy, Tb), ibi (Si).
- 202. \*pab 'all' paw (Gj), pabẽy (Pt), upa (Ub), pabẽ (Gn), opa (Gy), ha (Si), (o)pab (Tb).
- 203. \*mocapir 'three' mohapi (Pt), mo?apit (Km), mapir (Ub), mucapirika (Ko), moapi (Gn), mocapi (Gy), mosapir (Tb).
- 204. \*i'e 'intestines' ie'i (As), ie (Gj, Gn), iapoyub (Pt), i'iepo (Km), ie (Si), i'e (Tb).
- 205. \*oyepeteî 'one' oyepe (As, Tb), pitei (Gj), oyipeyî (Pt), oyepete (Km), pete'î (Ub, Gn), wüpü (Ko), yepeî (Gy).
- 206. \*pwã-pē 'fingernail' koá-pe (As), hwa-pe (Km), põ kuã (Gy), oãe (Si), pwã pẽ (Tb). Cf. 70.
- 207. \*ibitu 'wind' iwito (As), iwitu (Gj, Km, Ub, Ko), ibitu (Pt, Gn, Gy, Tb).
- 208. \*epyak 'see' ečan (As), ečak (Gj, Km), usak (Ub), esa (Gn), epia (Gy), ea (Si), epyak (Tb).
- 209. \*obi 'green' huiahi (Gj), hobiahi (Pt), čowi (Km), howi (Ub), owi (Gn), obi (Gy, Tb), ubi (Si).
- 210. \*cebo'i 'worm' ewo'i (As, Km), ebo'i (Pt), cebo'i (Gy).
- 211. \*wan 'red' i nwan (Pt), i wan (Km), wan(Tb).
- 212. \*piran 'red' piron (As), pirən (Gj), pirã (Gy), irã (Si), piran (Tb).

- 213. \*pitaŋ 'red' pitã (? Km, Gn), pitanin (Ko), itã (Si), pitaŋ (Tb).
- 214. \*(?)ē 'pour' '?ē (Pt), ē (Tb,Si).
- 215. \*ur 'come' on, ot (As), hu (Pt), ?ut (Km), u (Gn, Gy, Si), ur (Tb).
- 216. \*eko, ekobe 'live' iko (Gj), kowe (Km), šuwe (Ub), ikobe (Gn, Gy, Si), eko, ekobe (Tb).
- 217. \*bebe 'fly' wewe (As, Km), ewew (Gj), bebe (Pt, Gn, Si, Tb), uwü (Ko).
- 218. \*ne, ene 'you (sing.)' enee (As), ene (Km, Tb), ünü (Ko), ne (Gj, Pt, Ub, Gy, Tb, Si), nee (Gn).
- 219. \*pe...ē 'you (pl.)' pehee(As), pehe (Pt, Ub), pehē (Km), üpü (? Ko), peē (Gn, Gy), hē (Si), pe'ē (Tb).
- 220. \*we'en 'vomit' we'en (As, Ub, Tb), u'wünü (Ko), wee (Gy).
- 221. \*iro 'mad' iro (Pt, Tb), iro (Si).
- 5. Classification. Based on retained items and innovations common to the phonemic systems of the languages studied as compared to the system reconstructed for the protolanguage, the following tree diagram representing the genetic classification of this group of languages is obtained:



The following statements may be made on the basis of a rapid inspection of certain Tupi-Guarani languages which, because of lack of data, were not used in the reconstruction.

Tapirapé (data from Yonne Leite) has much in common with Asurini, including the loss of contrast between \*u and \*o, the merging of certain other vowels with \*a, and the phonetic manifestation of /y/ as [y] in syllable-final position and as [č] in other environments. However, its inclusion in the same section of the diagram as Asurini is difficult, since vowel nasalization remains as a phonemic feature of Tapirapé but not of Asurini and Guajajara.

Kayabi (data from Helga Weiss and Rose Dobson) and Emerillon (data from Vaughn Collins) have a zero reflex of \*c and phonemic vowel nasalization. Thus they belong to the Parintintin-Kamayura-Urubu branch. Kayabi appears to be closest to Parintintin.

Kaiwá (data from Loraine Bridgeman) and Xetá da Serra dos Dourados (data from Aryon Rodrigues) are similar to Guarani, including the characteristically Guarani distribution of zero and /c/ reflexes of \*c.

### FOOTNOTES

¹The present study was made possible through extended consultation with Prof. Aryon Rodrigues, author of a classification of the Tupi linguistic stock and of various studies of Tupinambá. Without his counsel and help in solving a number of problems we would not have been able to progress in the study. His assistance is here gratefully acknowledged.

<sup>2</sup>In order to standardize the use of phonemic symbols, we made certain substitutions for symbols used by the original authors. In most cases the substitution was on a one to one basis, thus not implying a different phonemicization. In cases in which we found complementary distribution, we took the liberty of substituting one symbol for various others. We have also reinterpreted  $/k^w/$  and  $/\eta^w/$  as sequences,  $/k^w/$  and  $/\eta w/$ , rather than single phonemes.

Substitutions made in the various languages are as follows:

As:  $k^w > kw$ ;  $\check{c} > y$ . Gj:  $k^w > kw$ ;  $\eta^w > \eta w$ ; z > y. Pt:  $k^w > kw$ ; j,  $\underline{v}i$ ,  $\underline{v}\tilde{n} > y$ ;  $g^w > w$ ;  $g > \eta$ ; v > b;  $\underline{v}i > v$ y;  $\underline{v}\tilde{n} > v$ y. Km:  $k^w > kw$ ;  $m^w > m$ ;  $h^w > h$ . Ub:  $k^w > kw$ ;  $ng > \eta$ ;  $ng^w > \eta w$ . Ko: iy > yv; iy > wv; iy > kw; iy > kw; iy > iy; iy > iy